

# Globally Normalized Transition-Based Neural Networks

Daniel Andor, Chris Alberti, David Weiss, Aliaksei Severyn,  
Alessandro Presta, Kuzman Ganchev, Slav Petrov, Michael Collins



Research  
at Google

*Parsey McParseface  
Now Has  
40 Multi-lingual Cousins!*

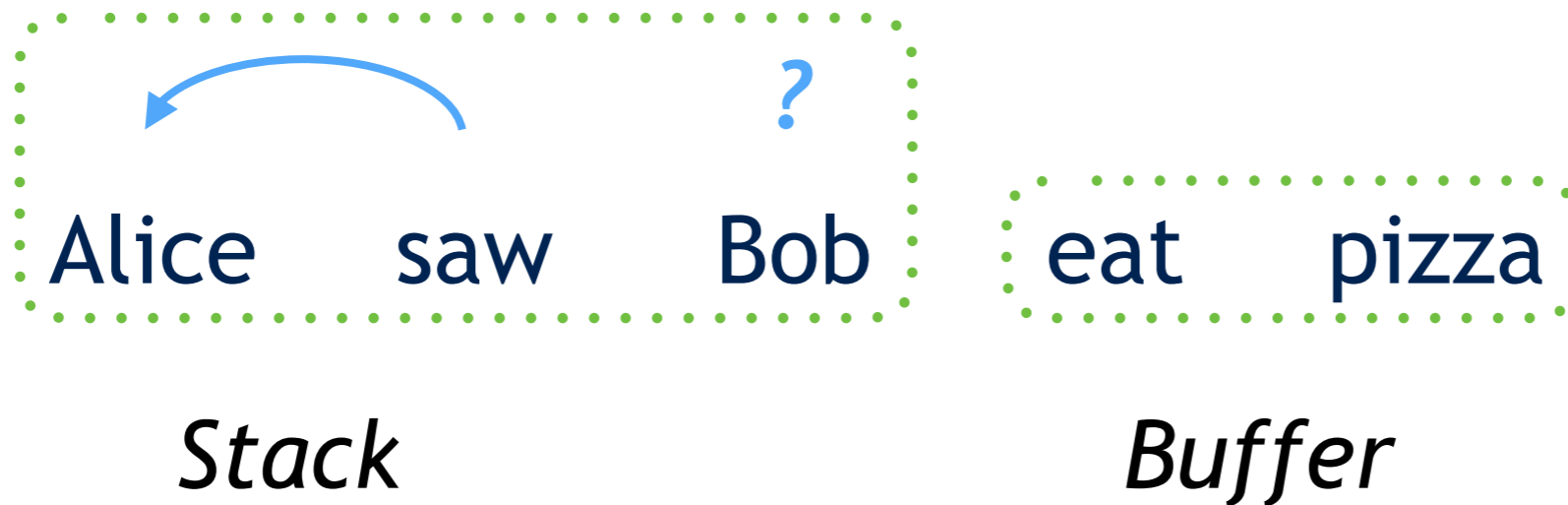
Daniel Andor, Chris Alberti, David Weiss, Aliaksei Severyn,  
Alessandro Presta, Kuzman Ganchev, Slav Petrov, Michael Collins



Research  
at Google



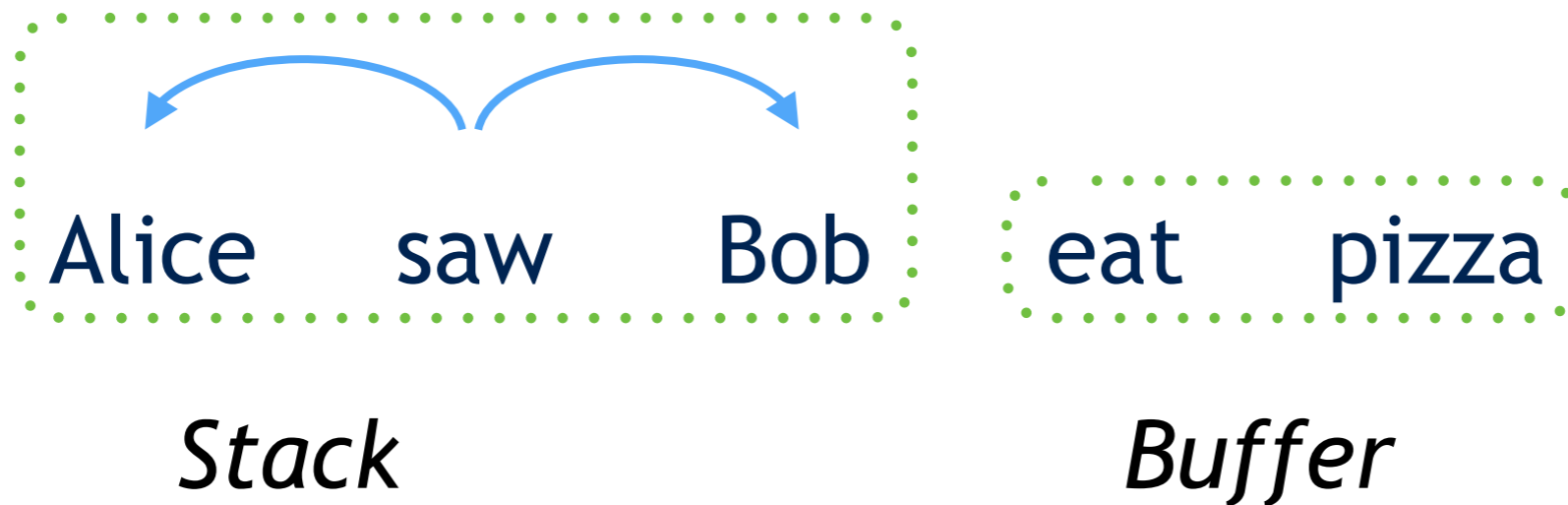
# Transition-Based Parsing





# Transition-Based Parsing

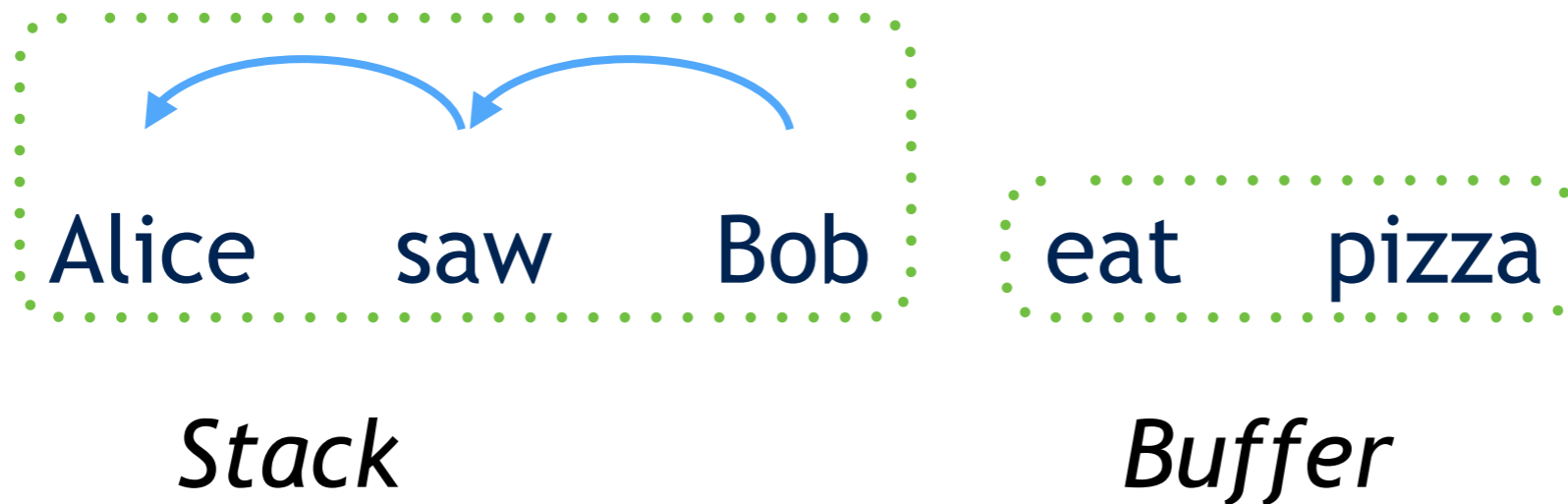
## RIGHT-ARC





# Transition-Based Parsing

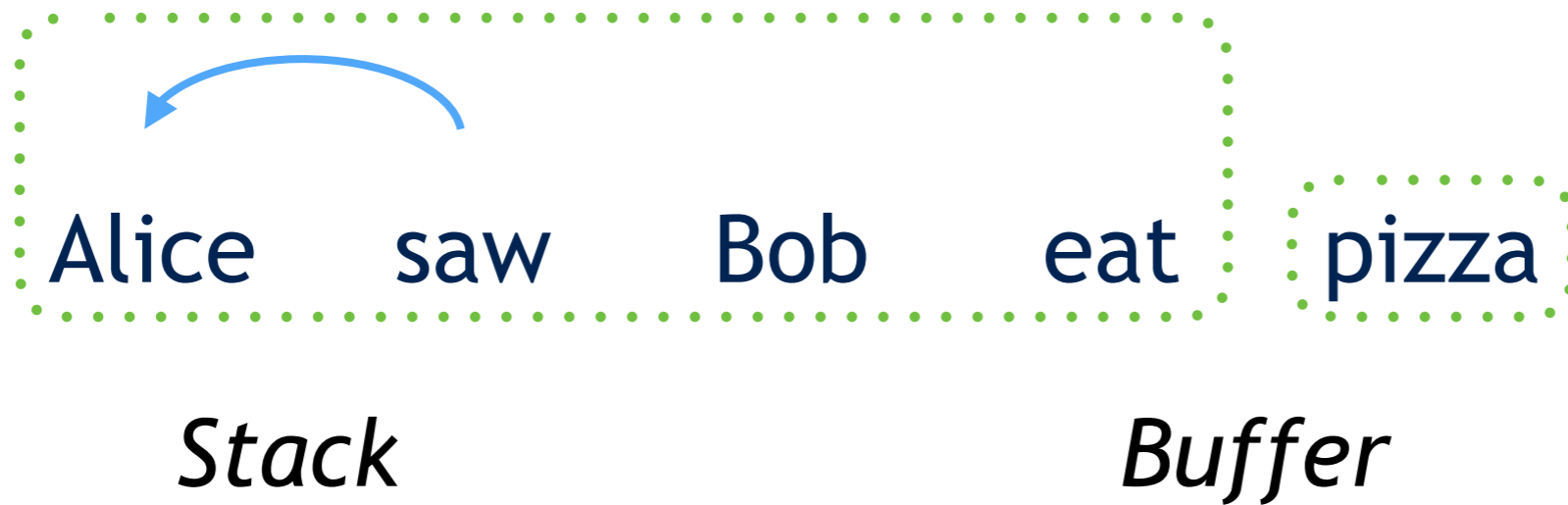
## LEFT-ARC





# Transition-Based Parsing

SHIFT





# Transition-Based Neural Networks



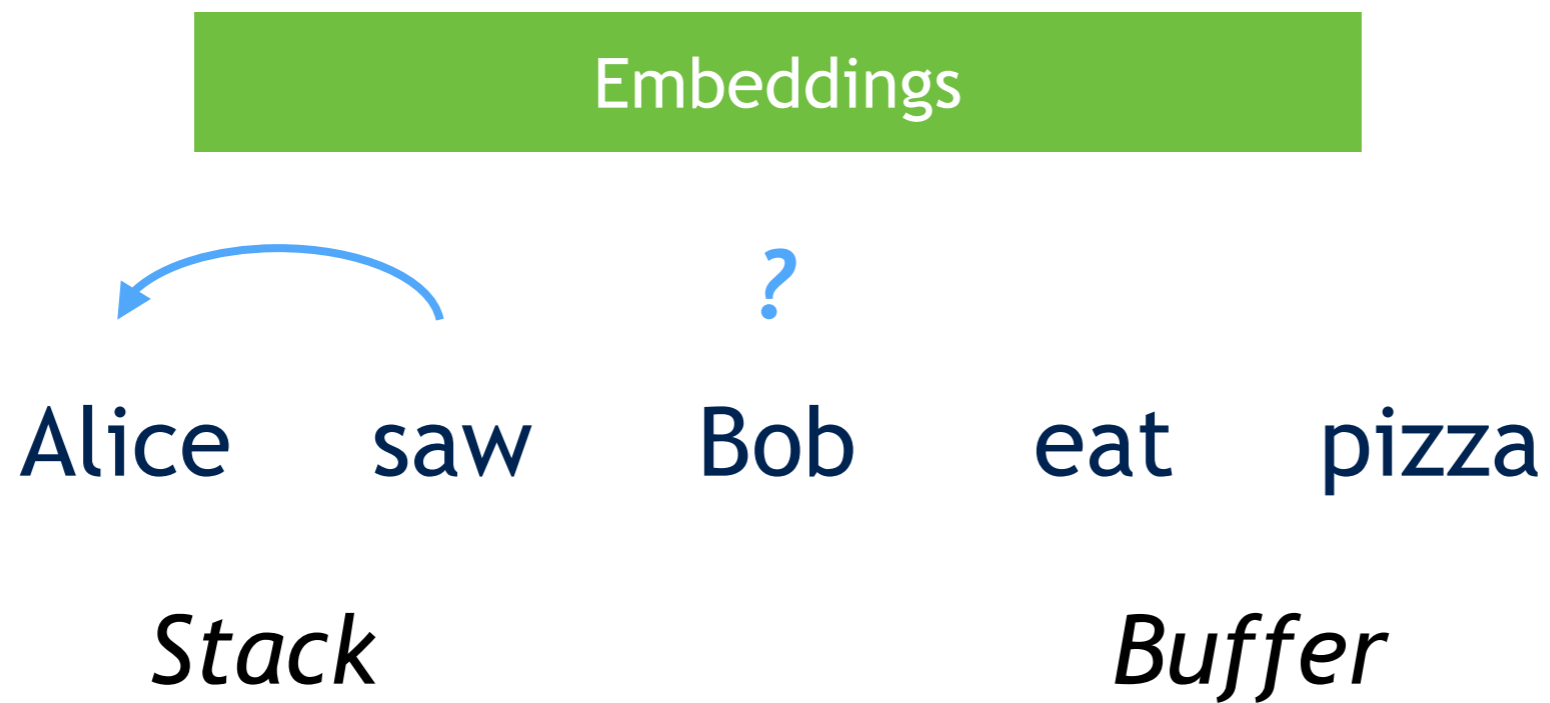
*Stack*



*Buffer*



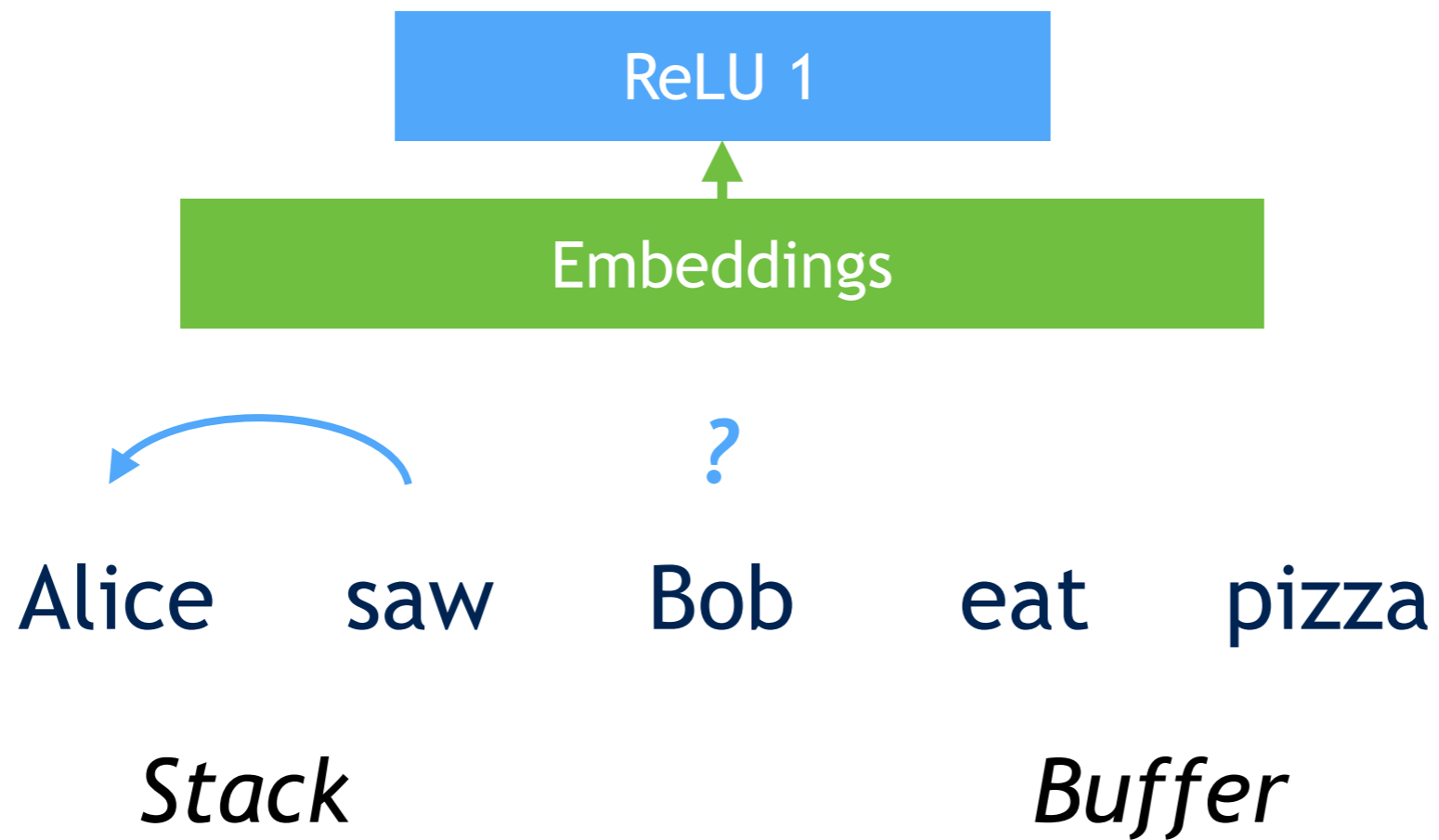
# Transition-Based Neural Networks





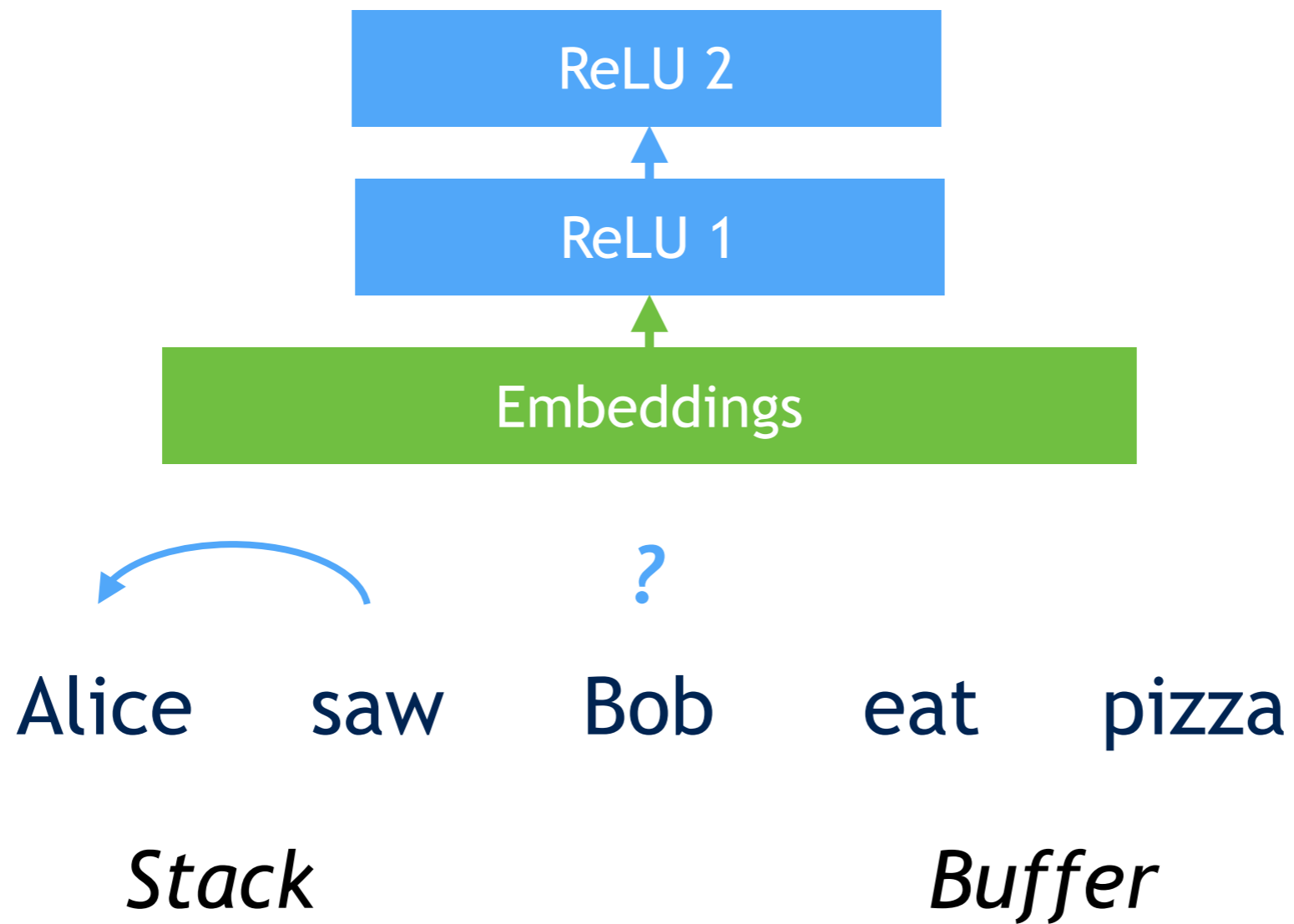


# Transition-Based Neural Networks



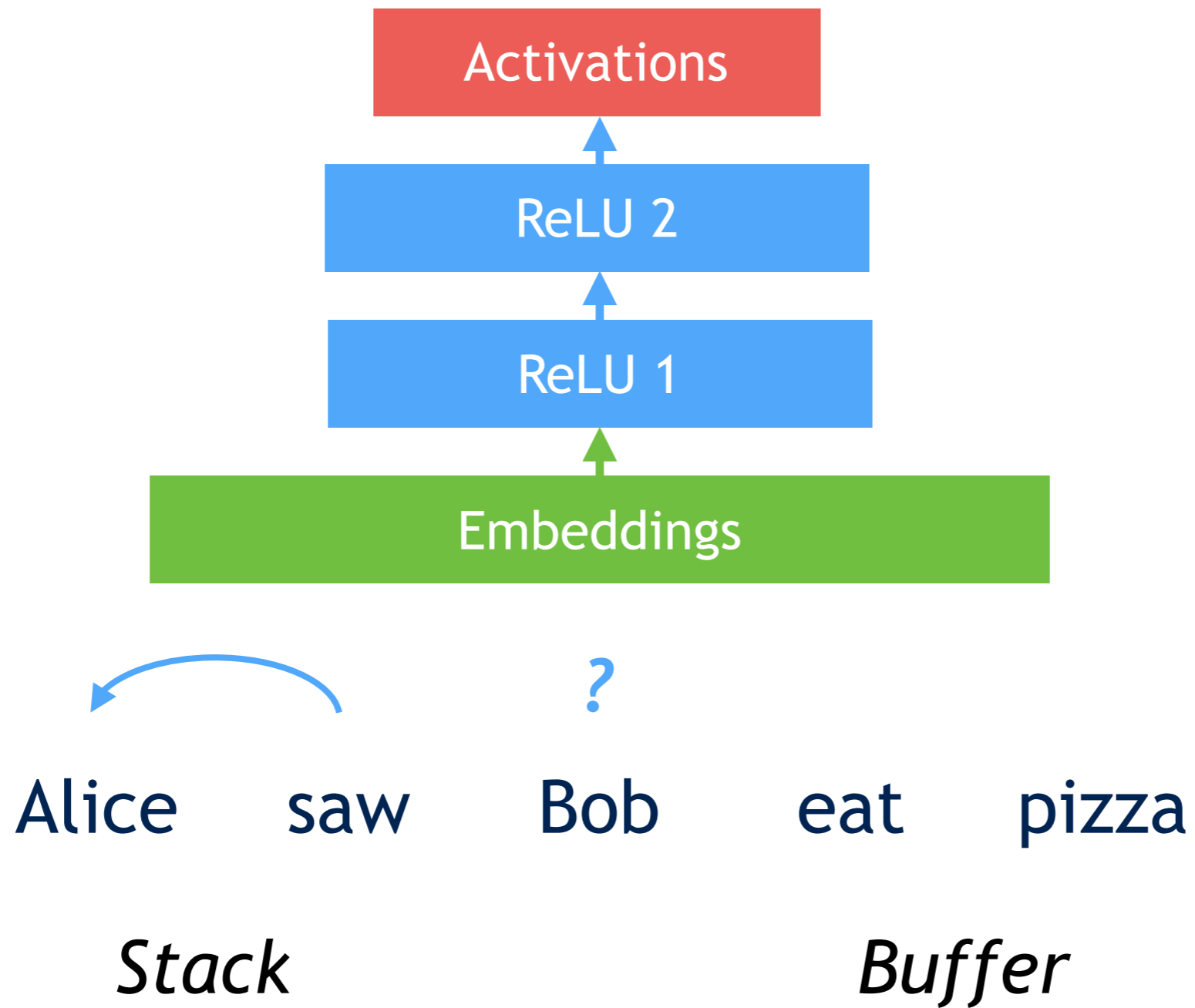


# Transition-Based Neural Networks



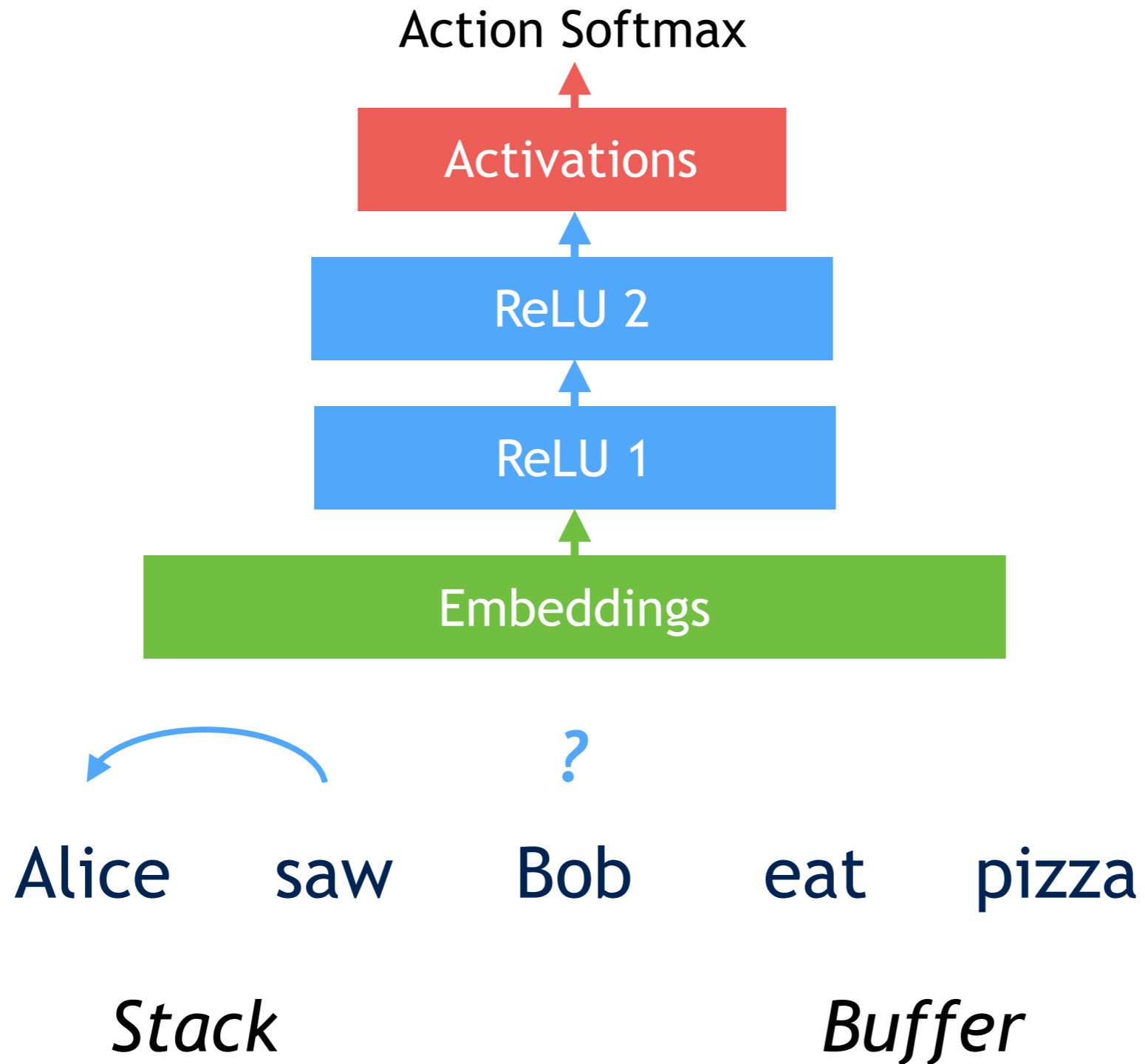


# Transition-Based Neural Networks



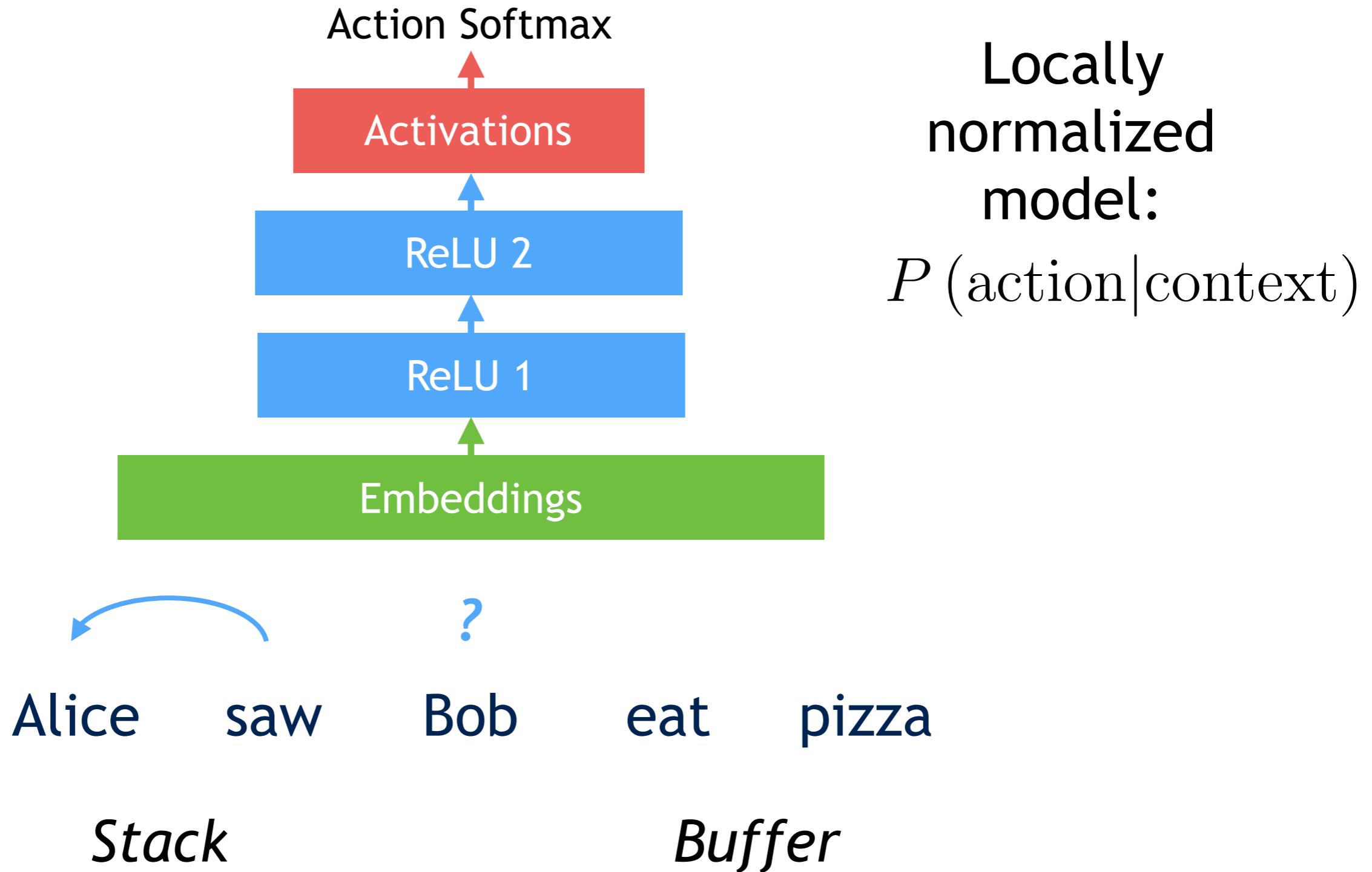


# Transition-Based Neural Networks





# Transition-Based Neural Networks





# Transition-Based Neural Networks

Action Softmax



Locally  
normalized  
model:  
(action|context)

- Locally normalized models are often easy to train
- Globally normalized models using the same #params can be much more accurate
- Applies to multiple tasks

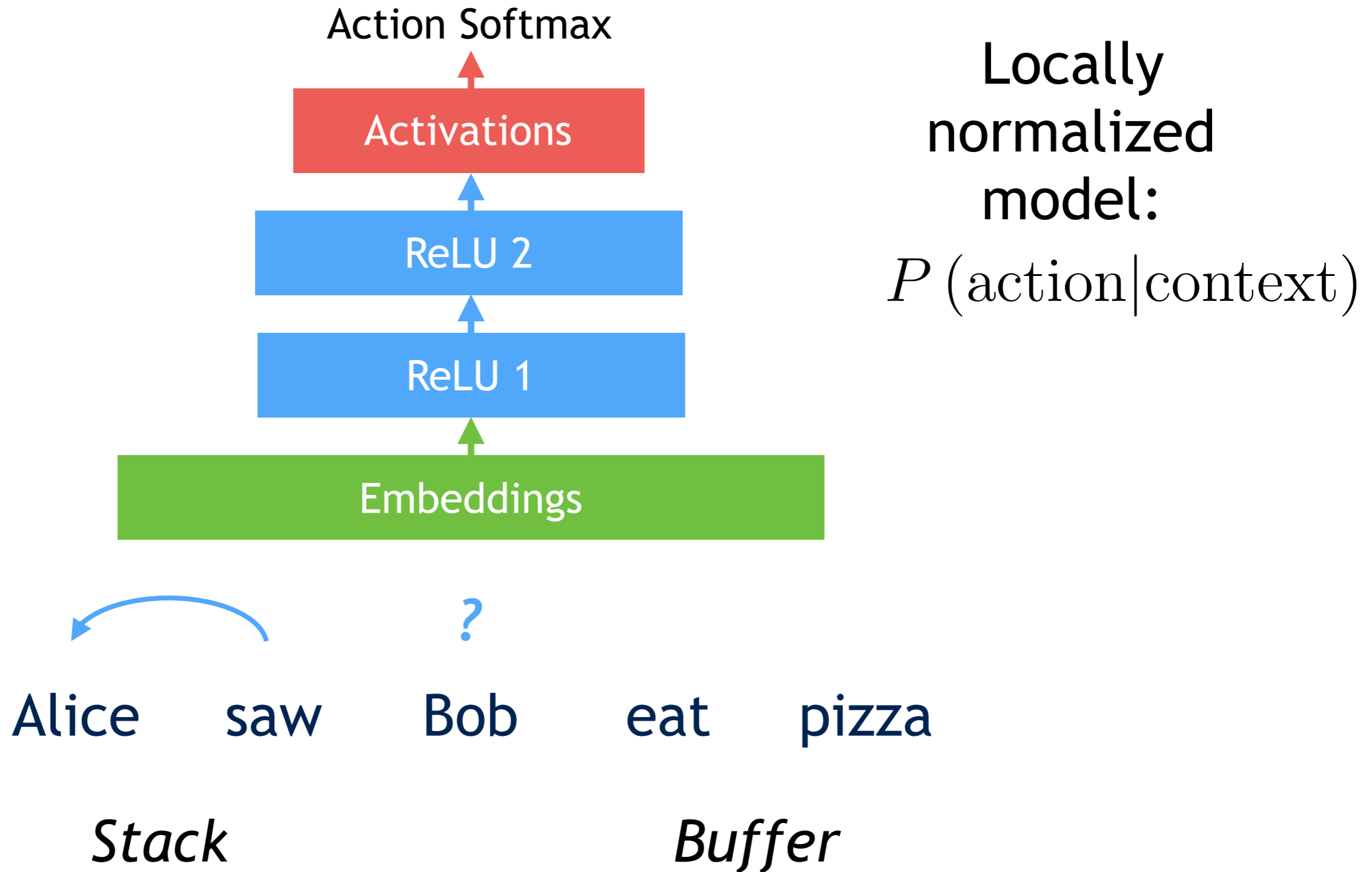
Al

*Stack*

*Buffer*

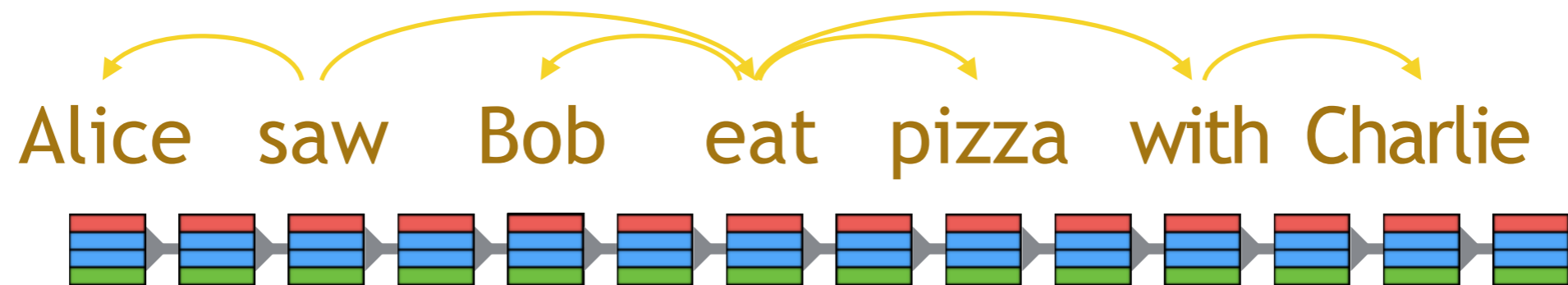


# Transition-Based Neural Networks





# Locally Normalized Training

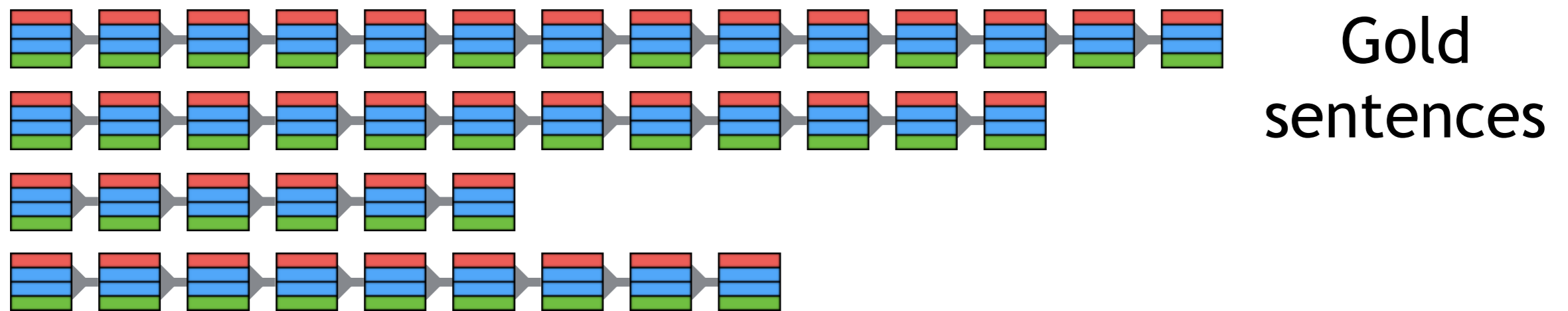






# Locally Normalized Training

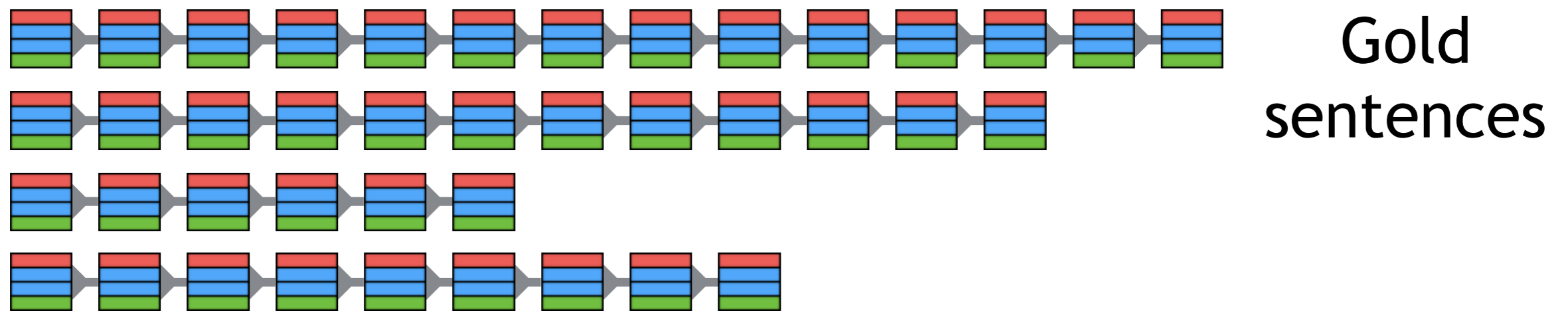
Oracle maps gold structures to gold action sequences:



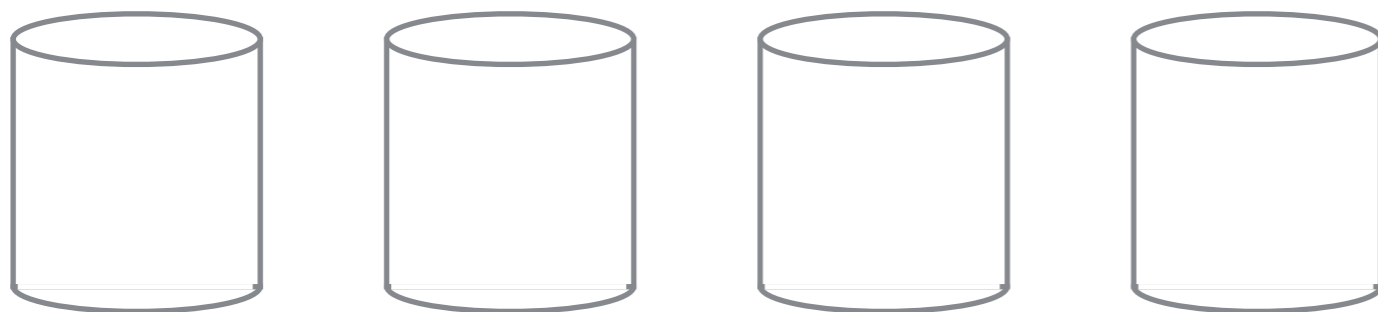


# Locally Normalized Training

Oracle maps gold structures to gold action sequences:



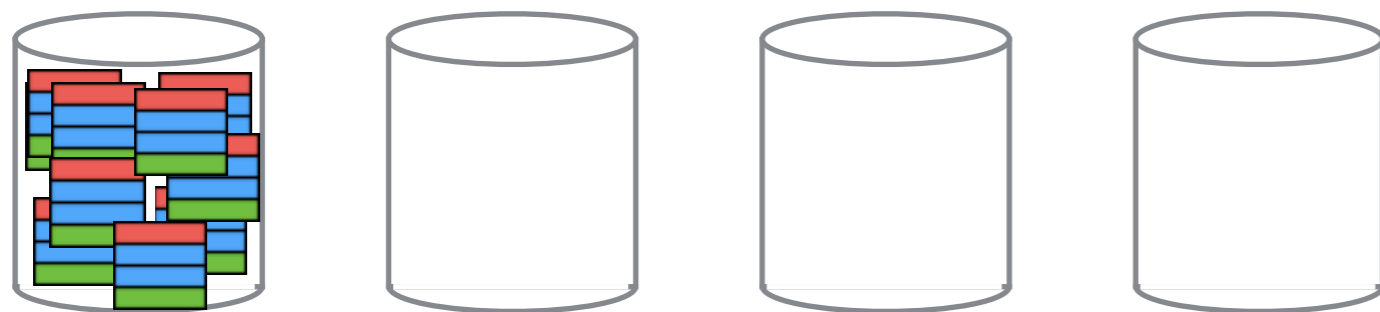
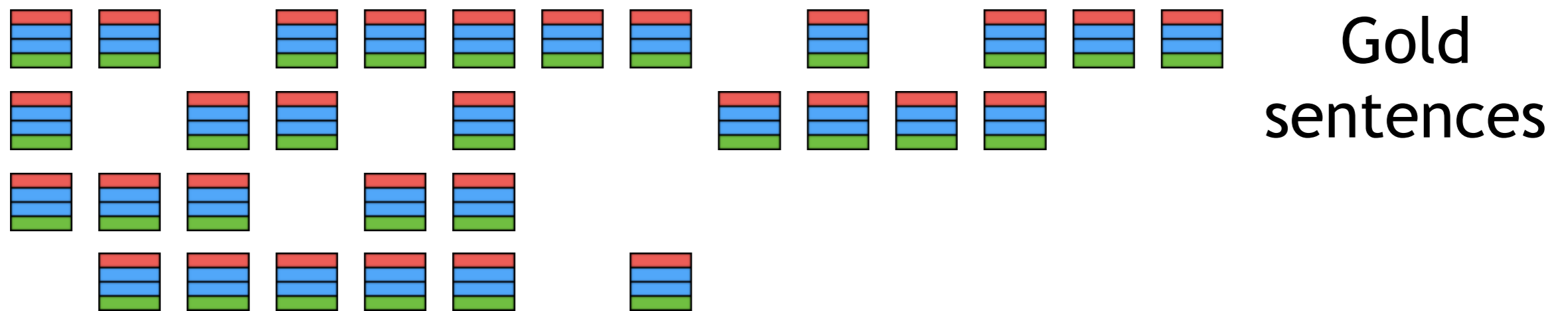
Gold sentences





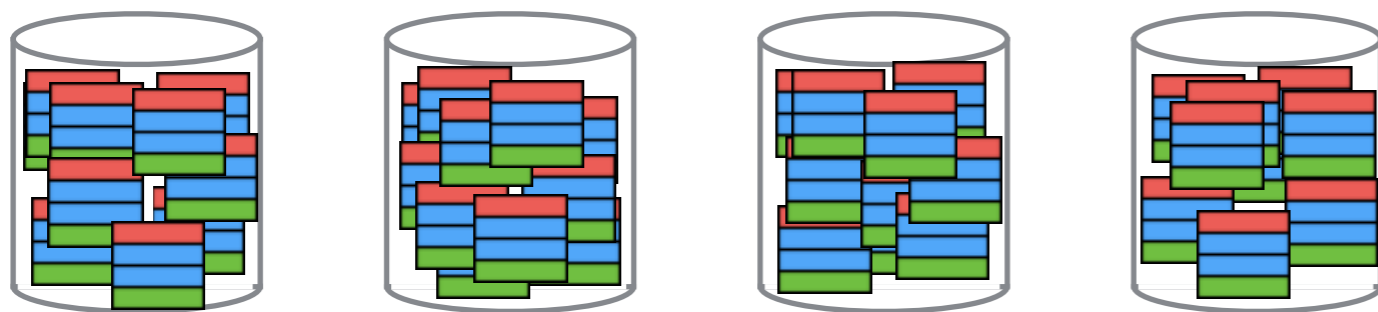
# Locally Normalized Training

Oracle maps gold structures to gold action sequences:





# Locally Normalized Training



Mini-batches

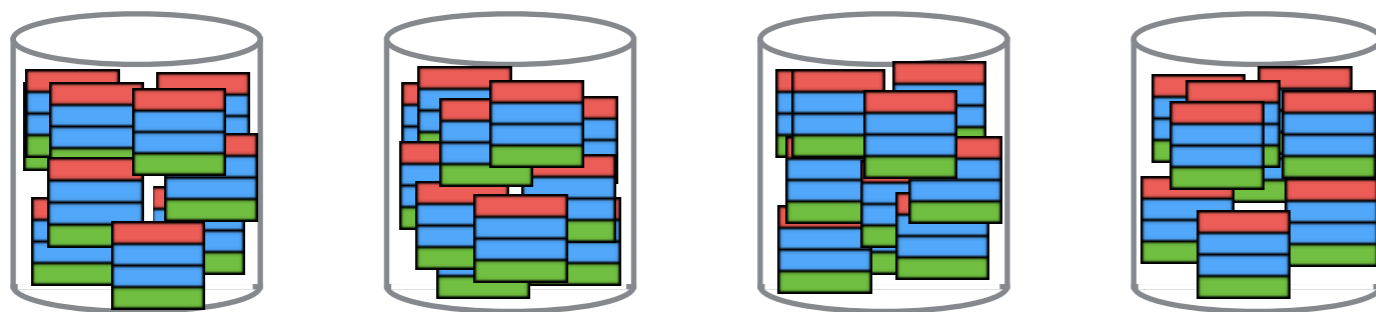
[Chen & Manning '14, Weiss et al. '15]



# Locally Normalized Training

Some advantages:

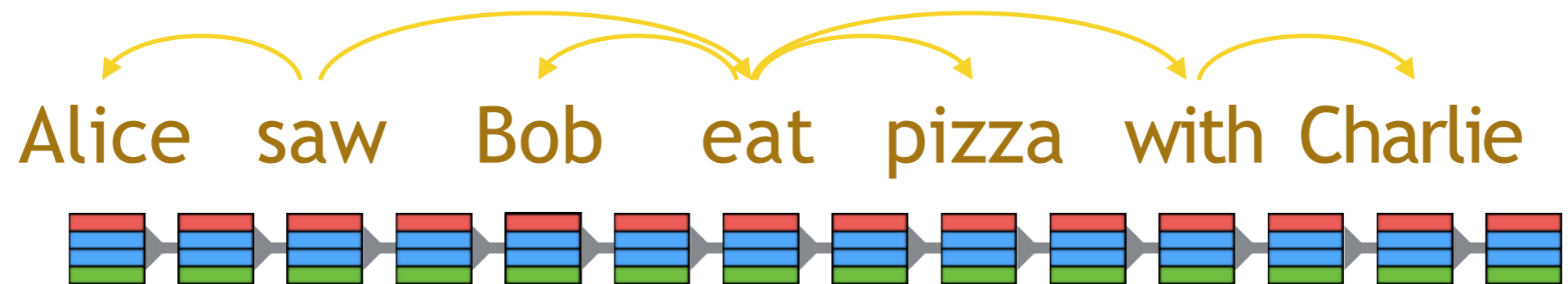
- Trivially Parallelizable
- SGD Training recipes
- Standard NN Packages



Mini-batches



# Locally Normalized Inference



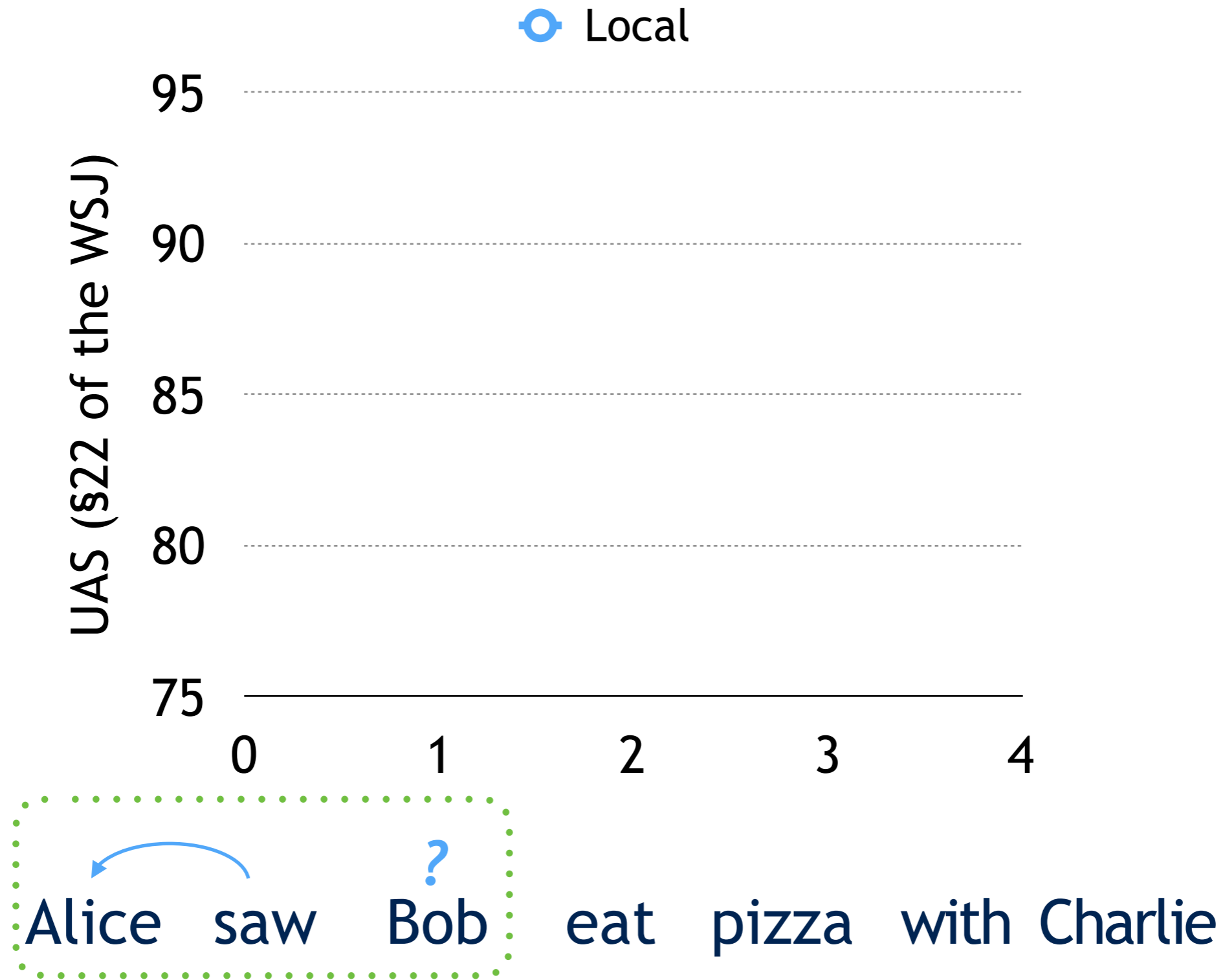


# How Important is Lookahead?

Alice saw Bob ? eat pizza with Charlie



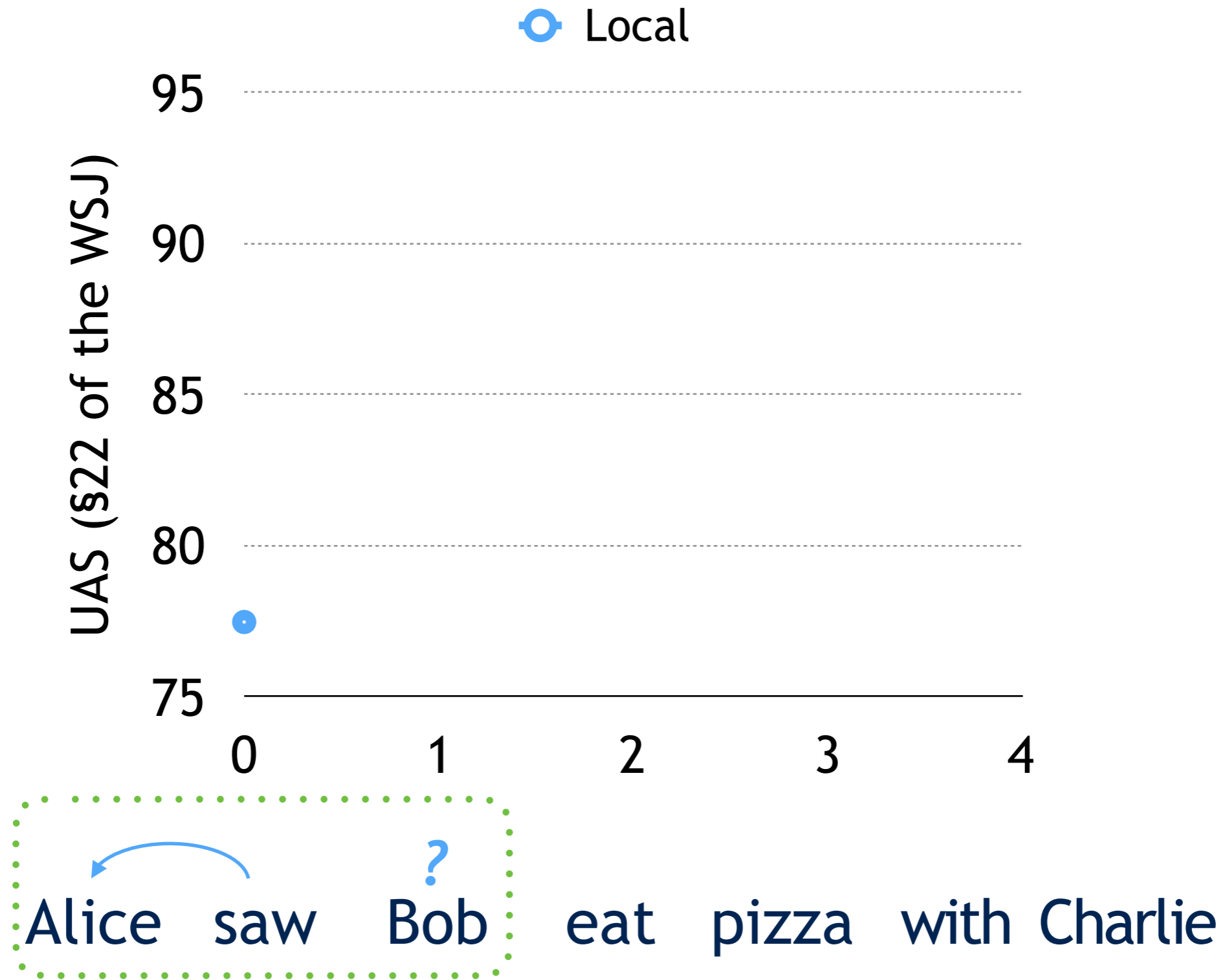
# How Important is Lookahead?





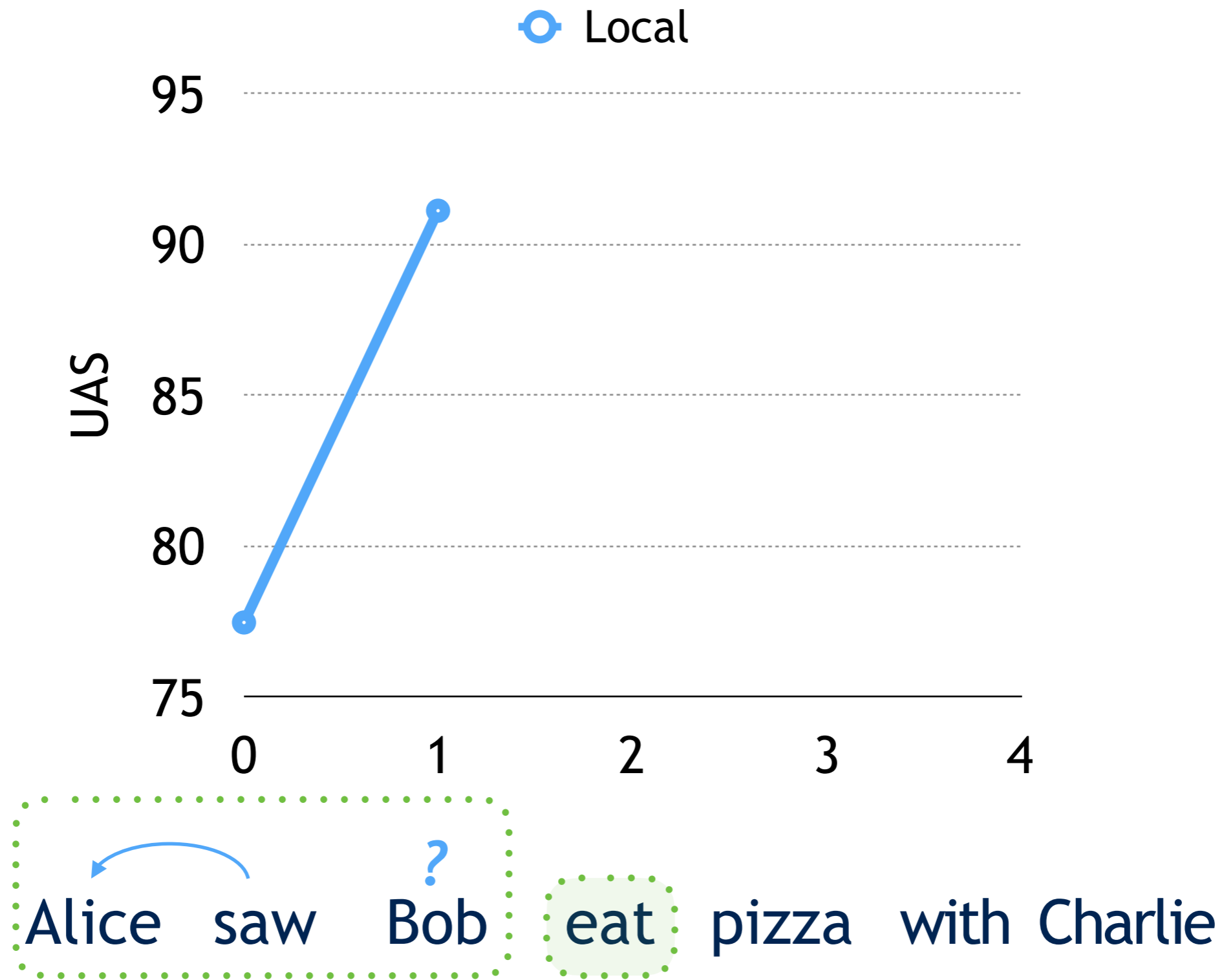


# How Important is Lookahead?



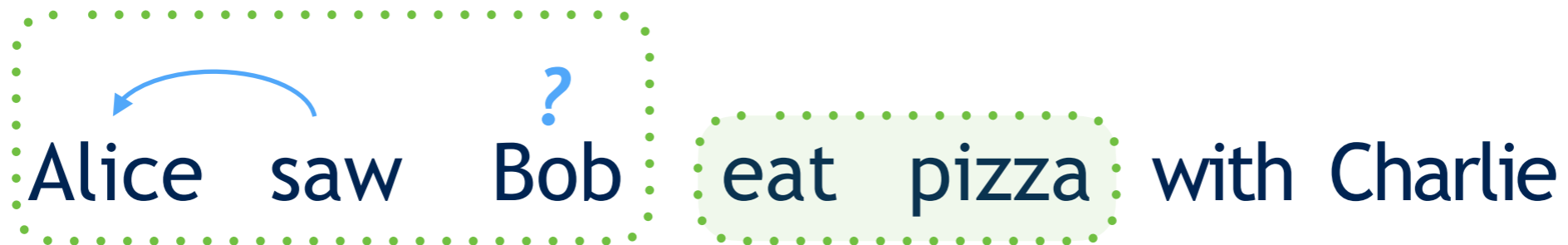
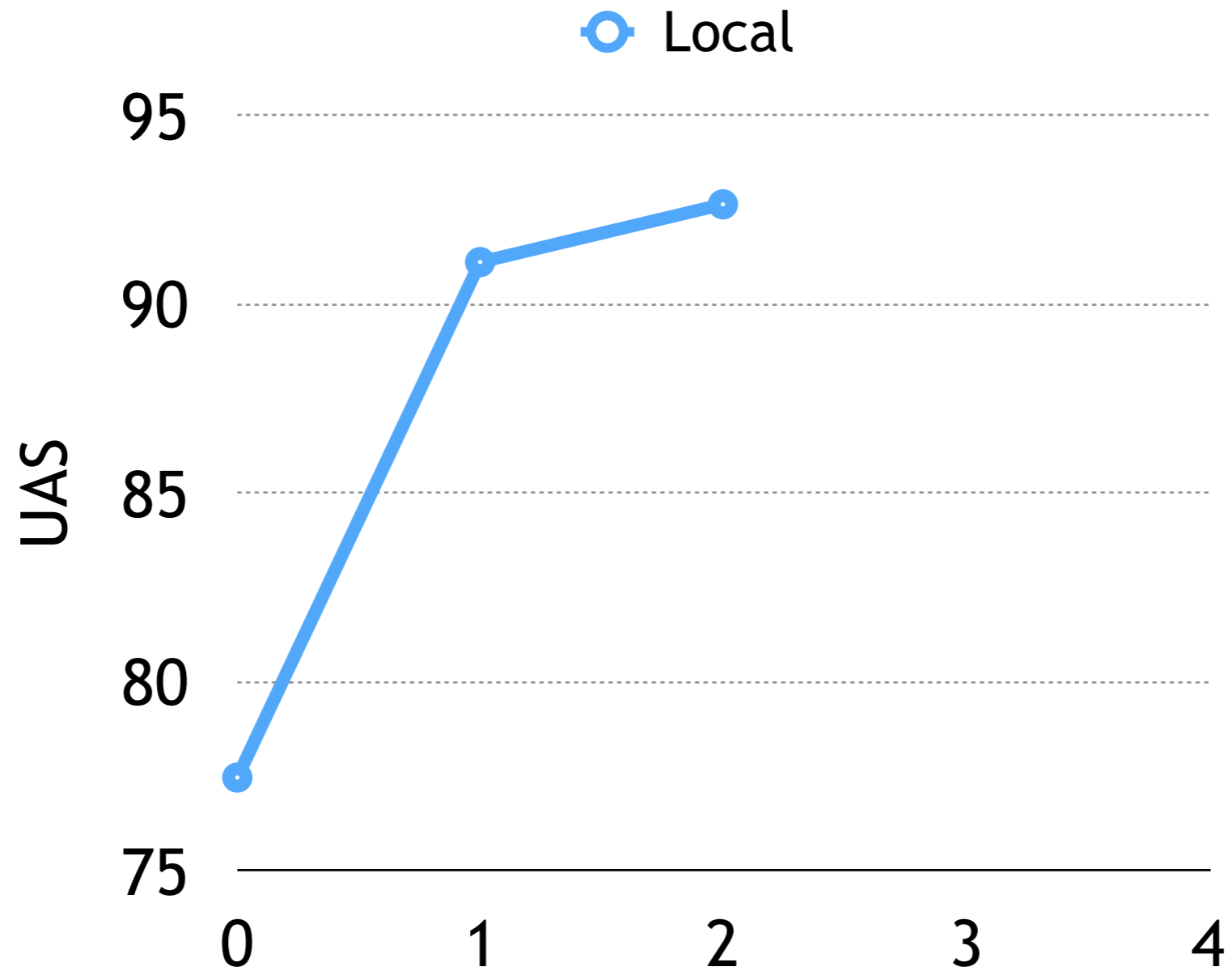


# How Important is Lookahead?



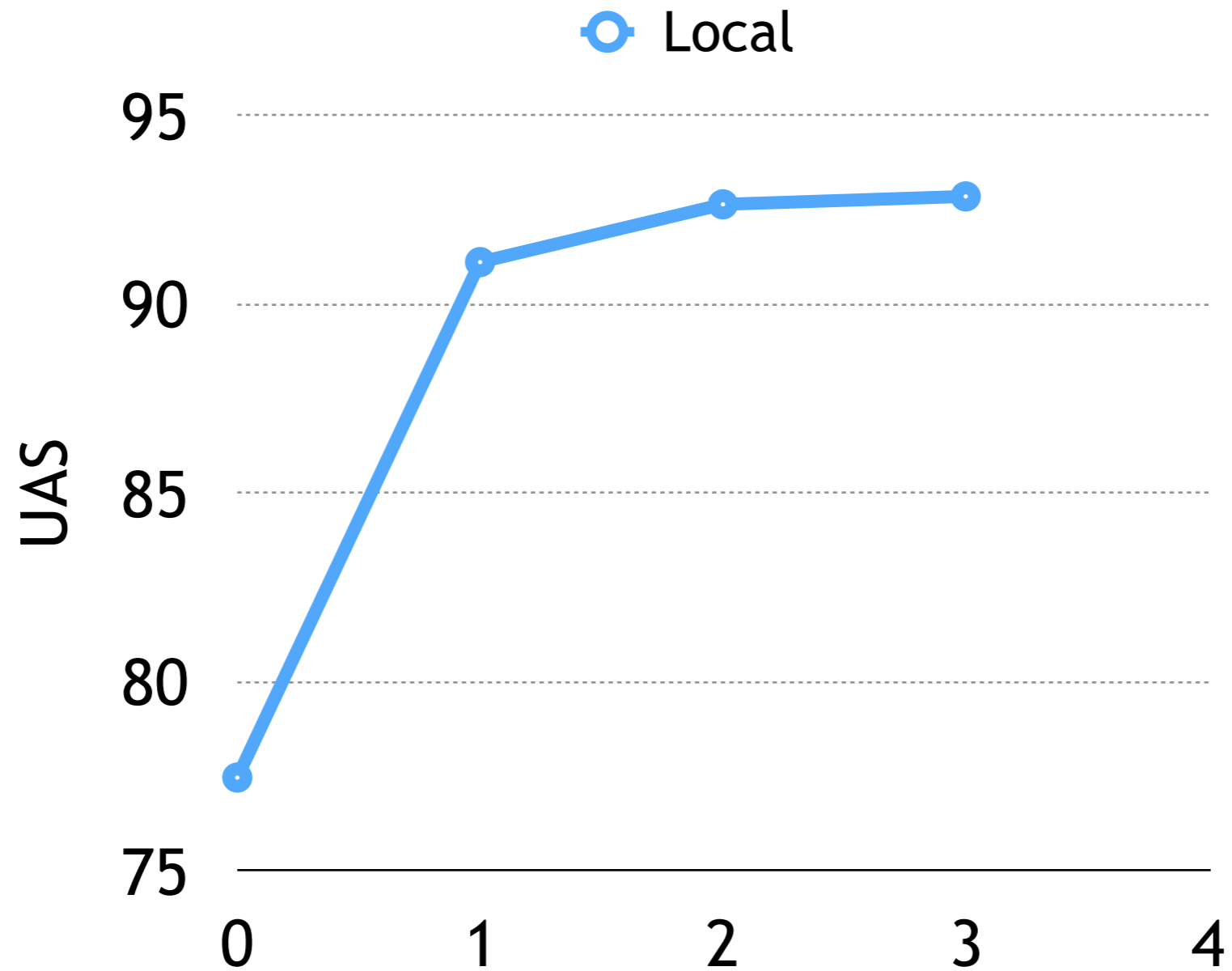


# How Important is Lookahead?



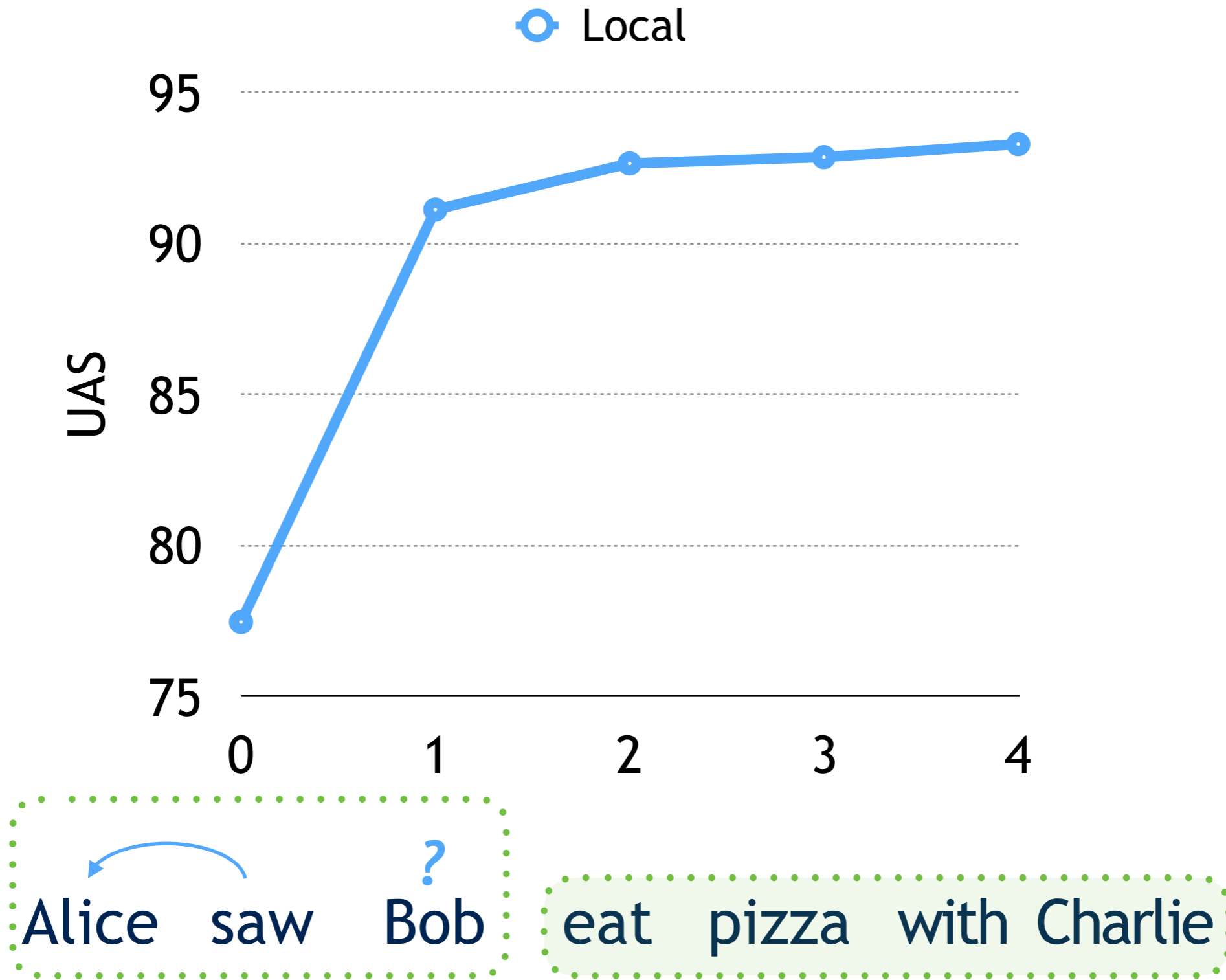


# How Important is Lookahead?



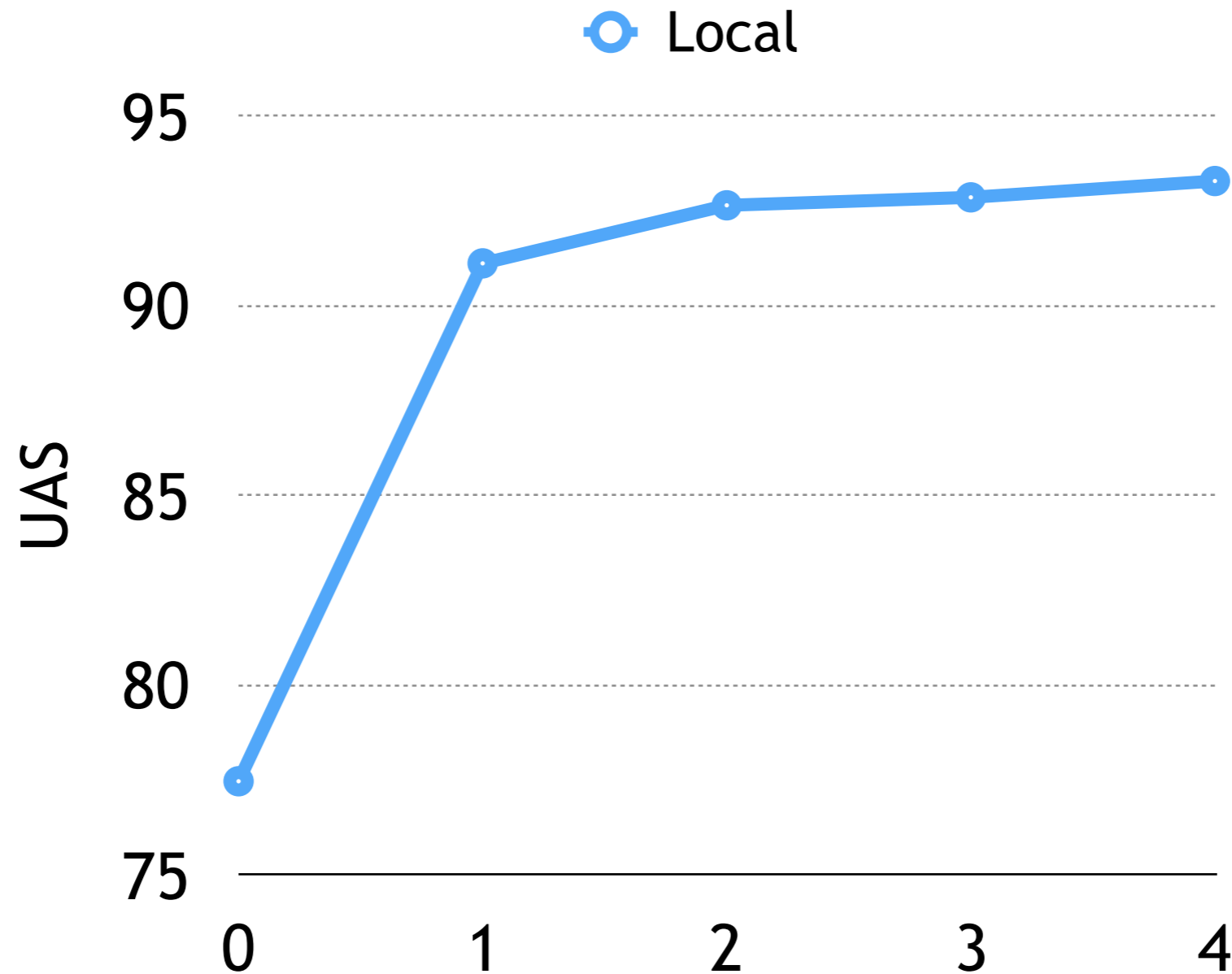


# How Important is Lookahead?





# How Important is Lookahead?

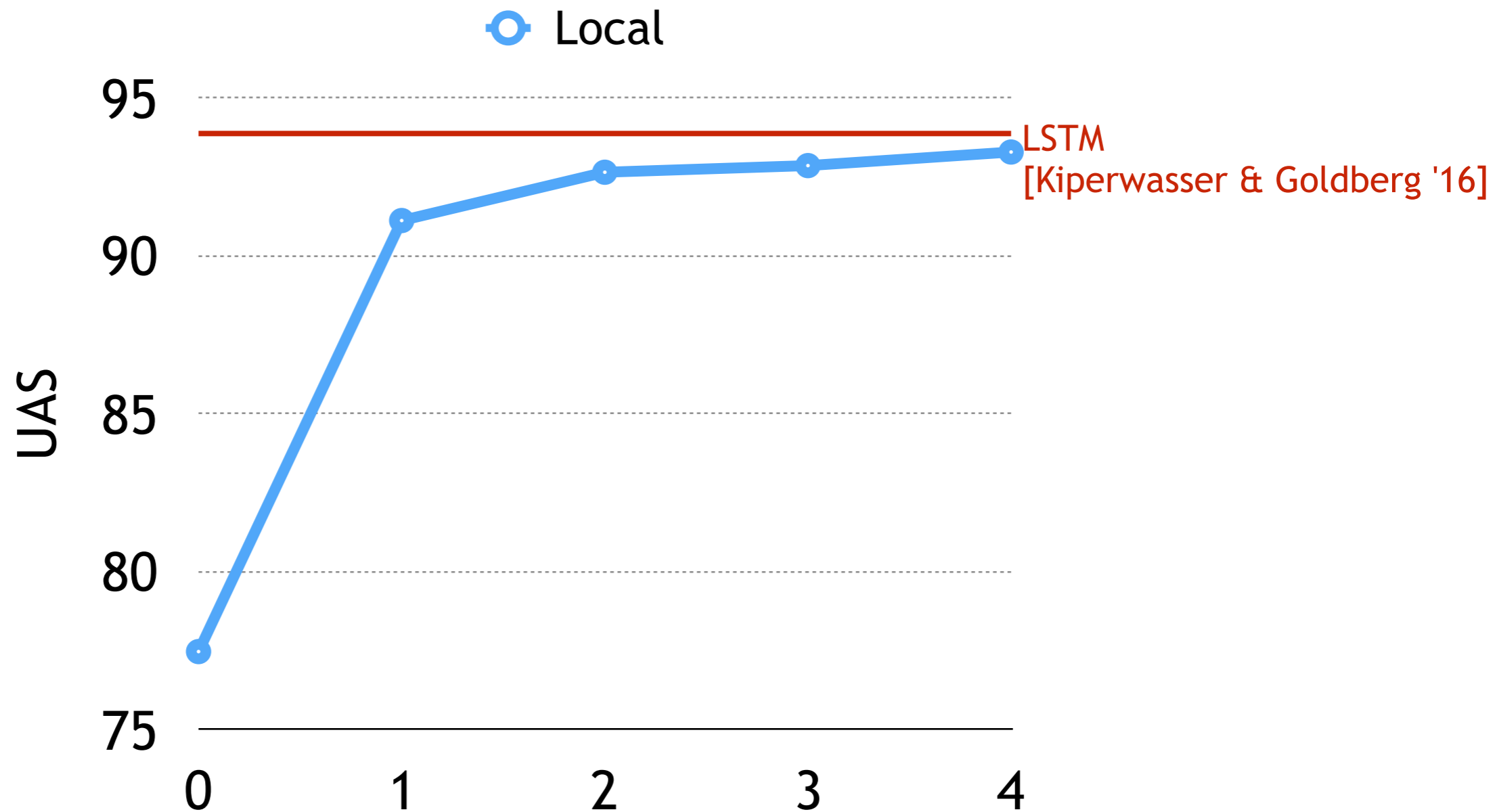


Alice saw Bob eat pizza with Charlie

←.....▶ Bi-LSTM



# How Important is Lookahead?



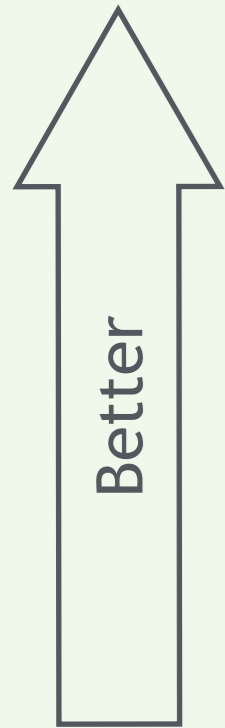
Alice saw Bob eat pizza with Charlie

← ..... ▶ Bi-LSTM



# Beam Search with Local Model

Beam



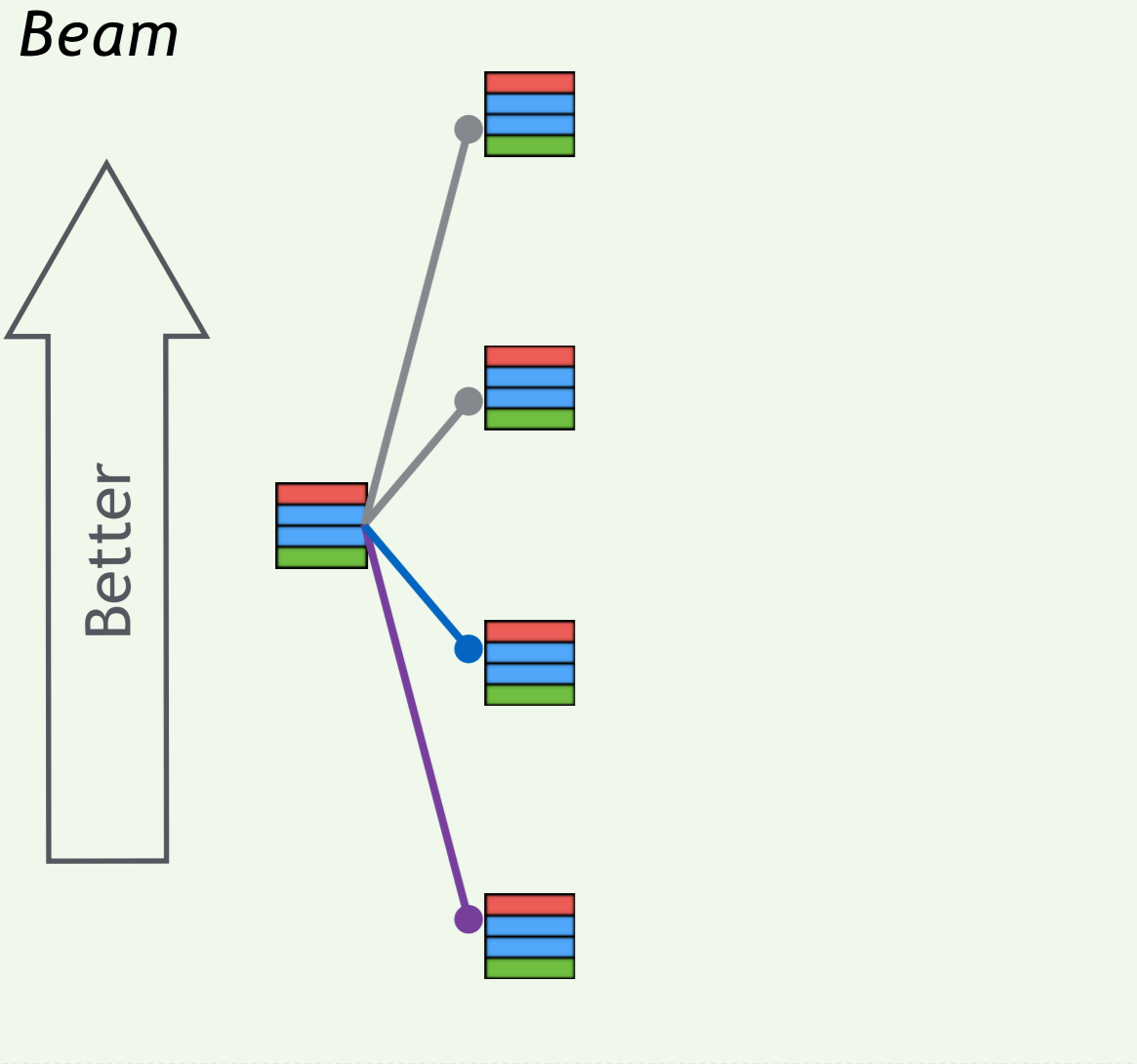
*(Schematic)*

Alice saw Bob eat pizza with Charlie

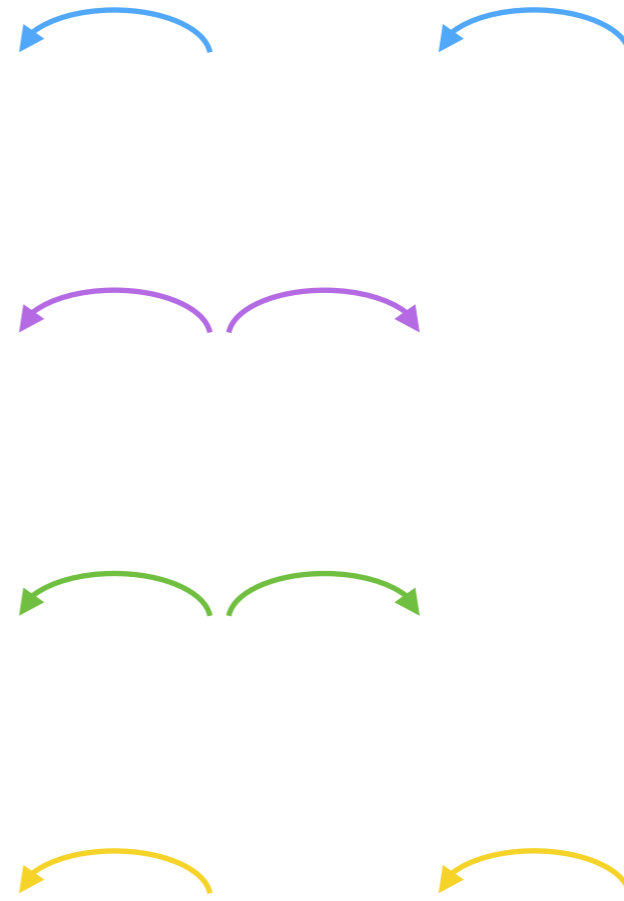




# Beam Search with Local Model



*(Schematic)*

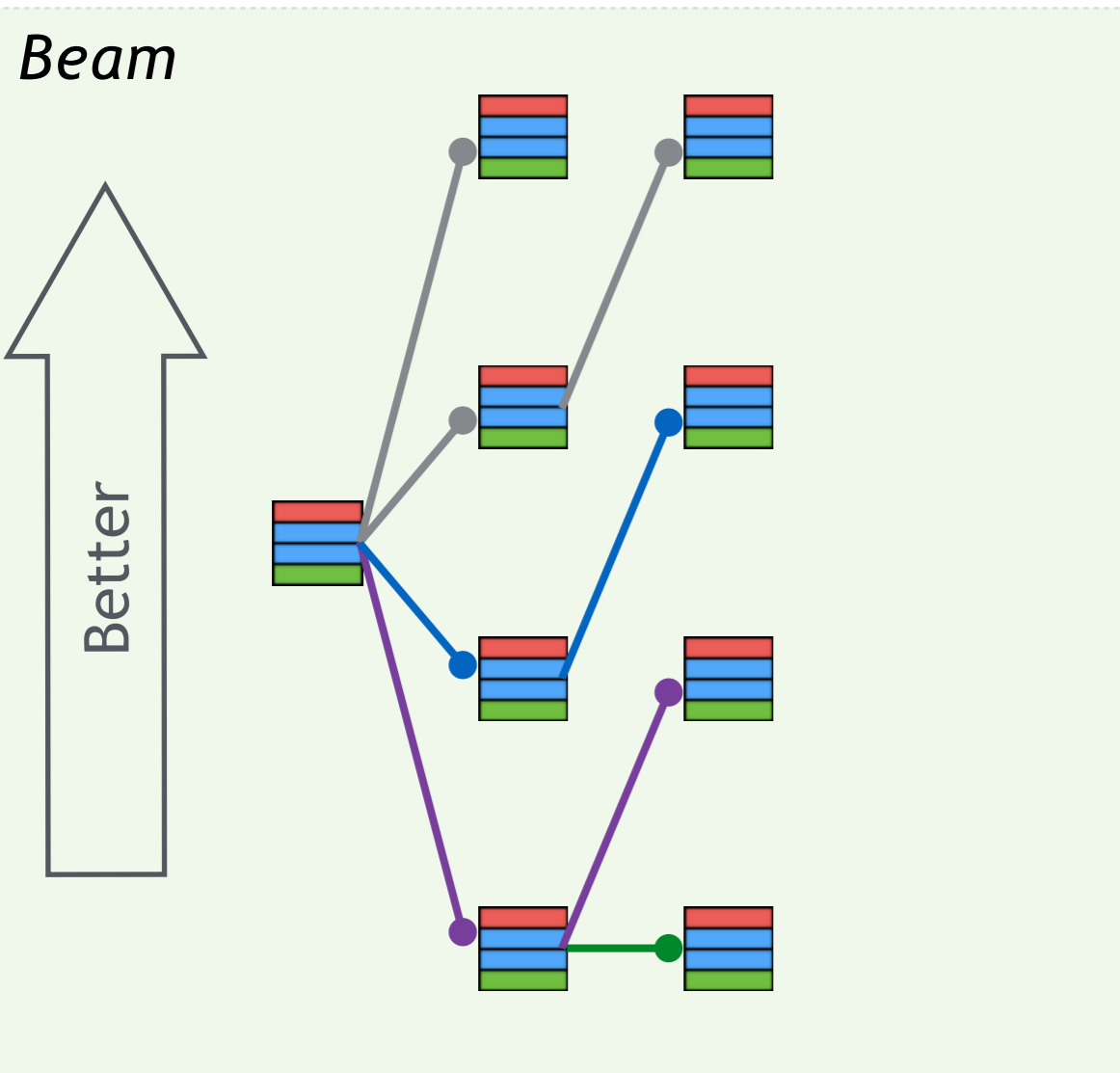


Alice saw Bob eat pizza with Charlie

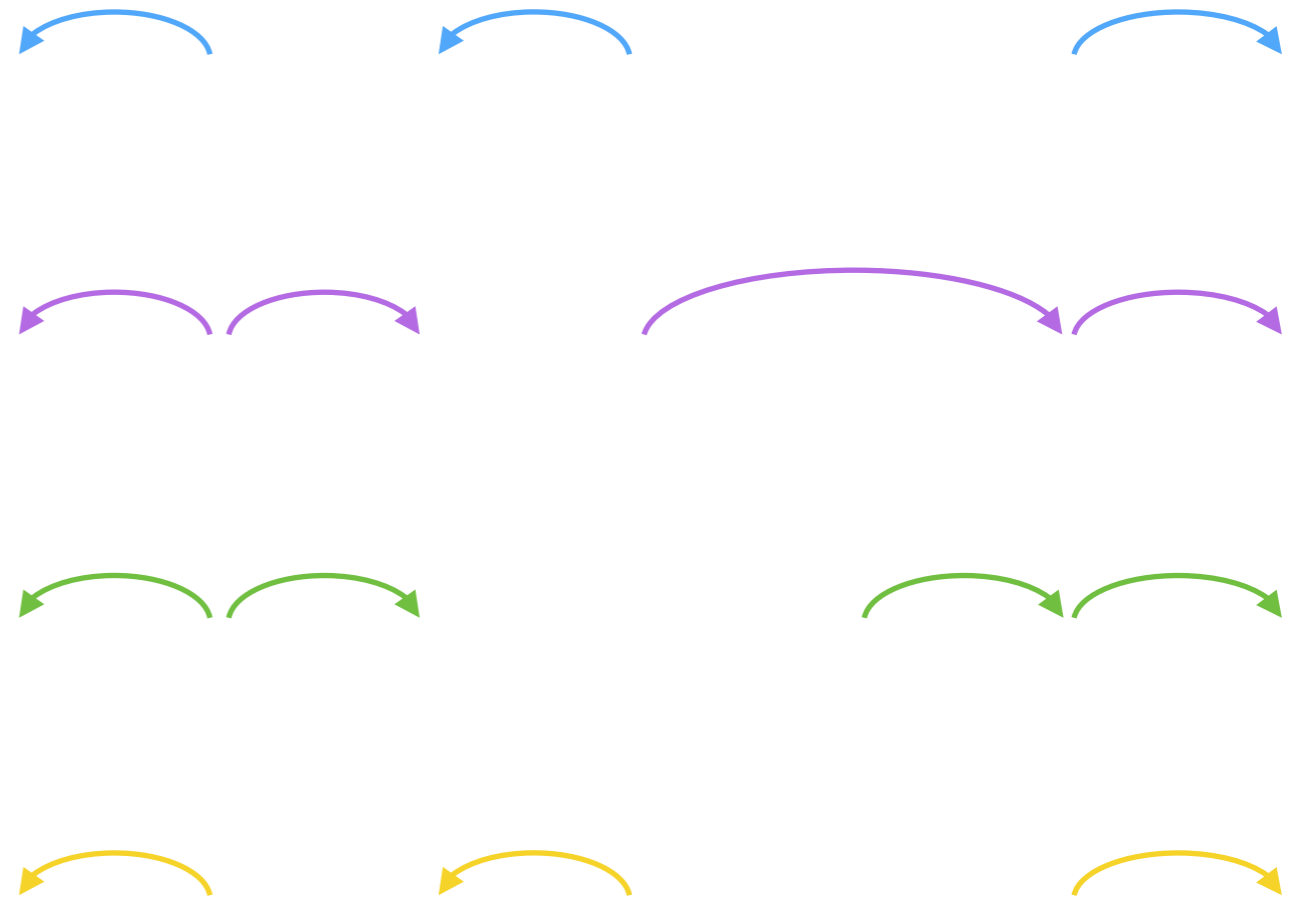




# Beam Search with Local Model



*(Schematic)*

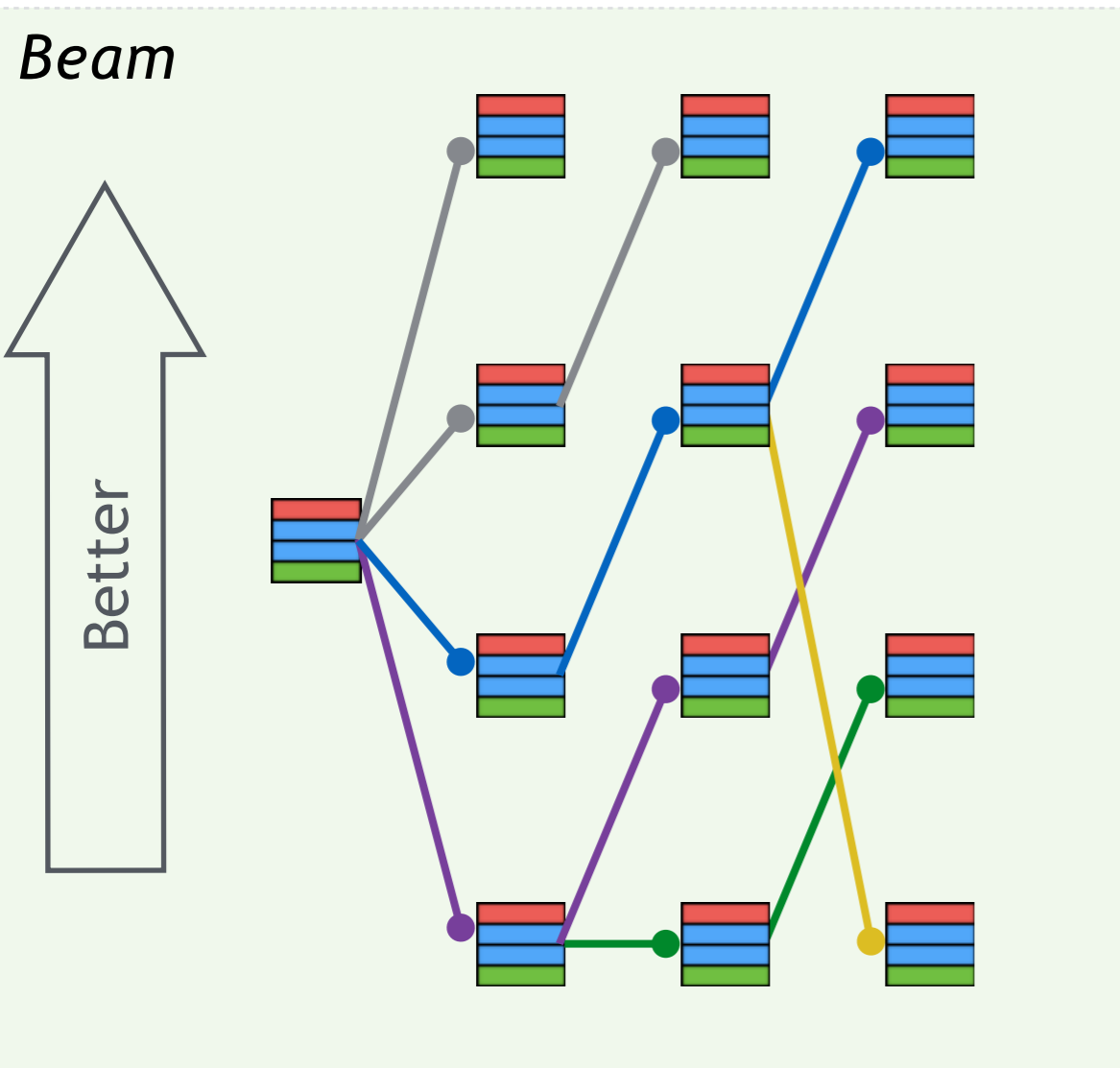


Alice saw Bob eat pizza with Charlie

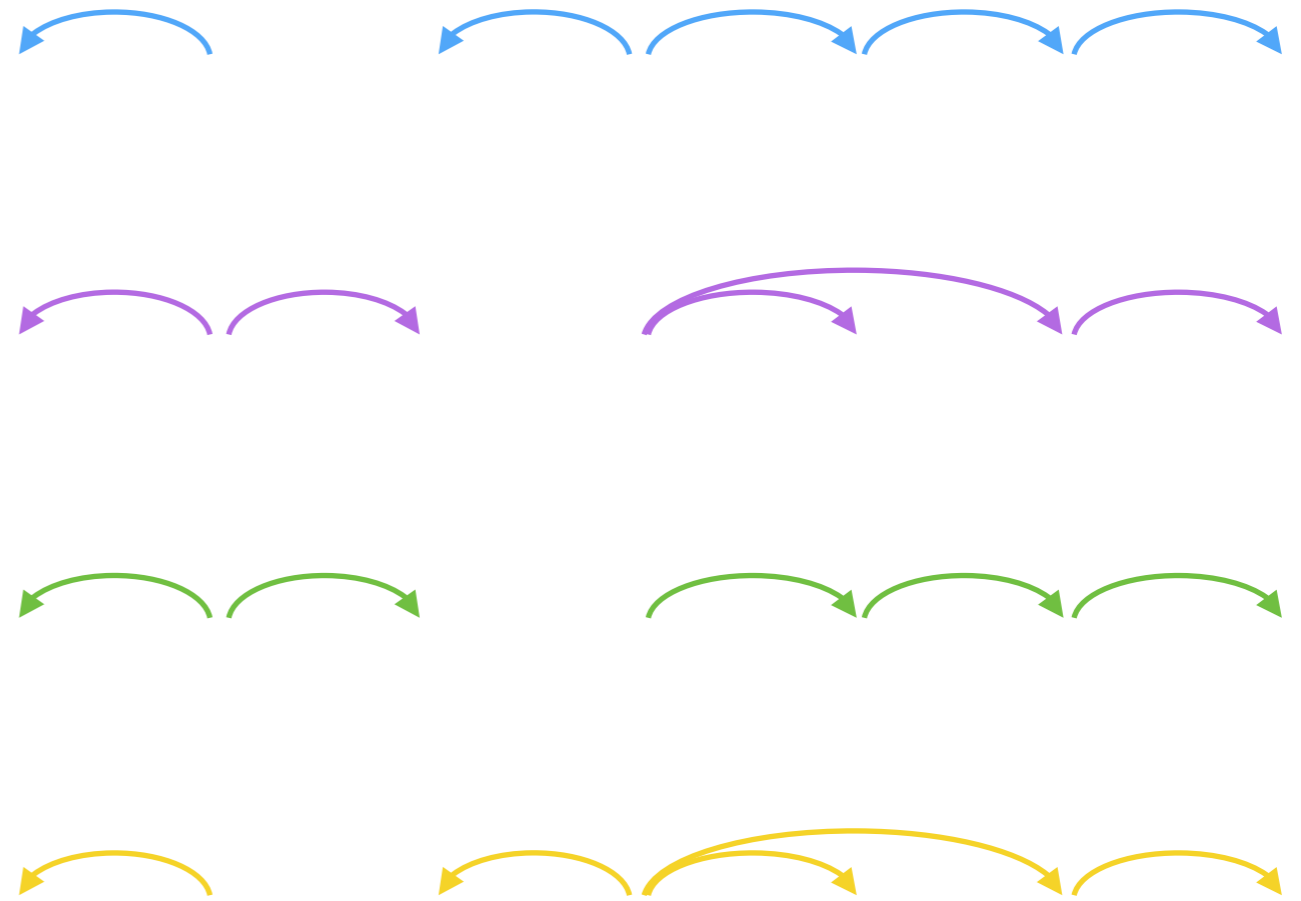




# Beam Search with Local Model



*(Schematic)*

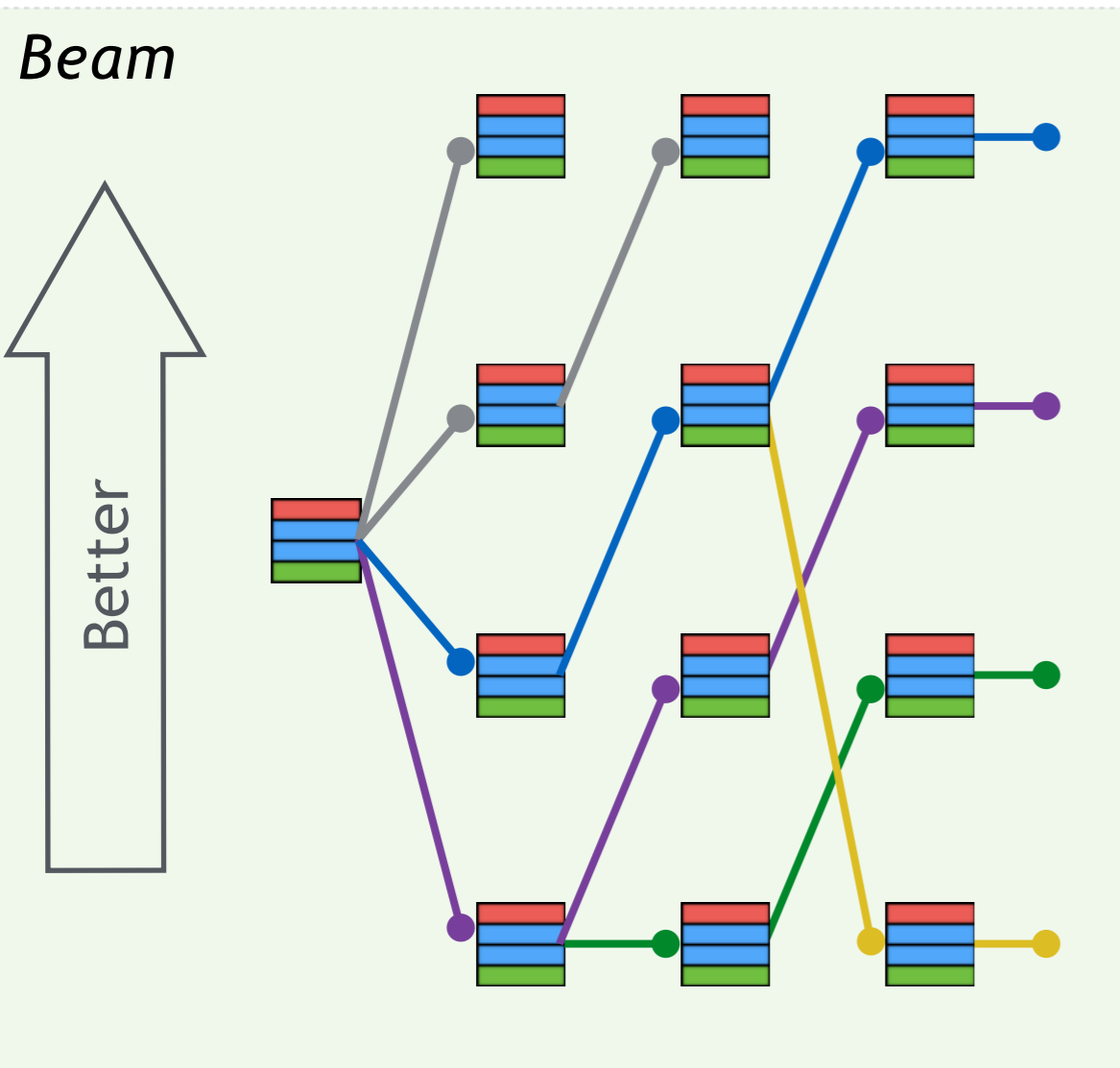


Alice saw Bob eat pizza with Charlie

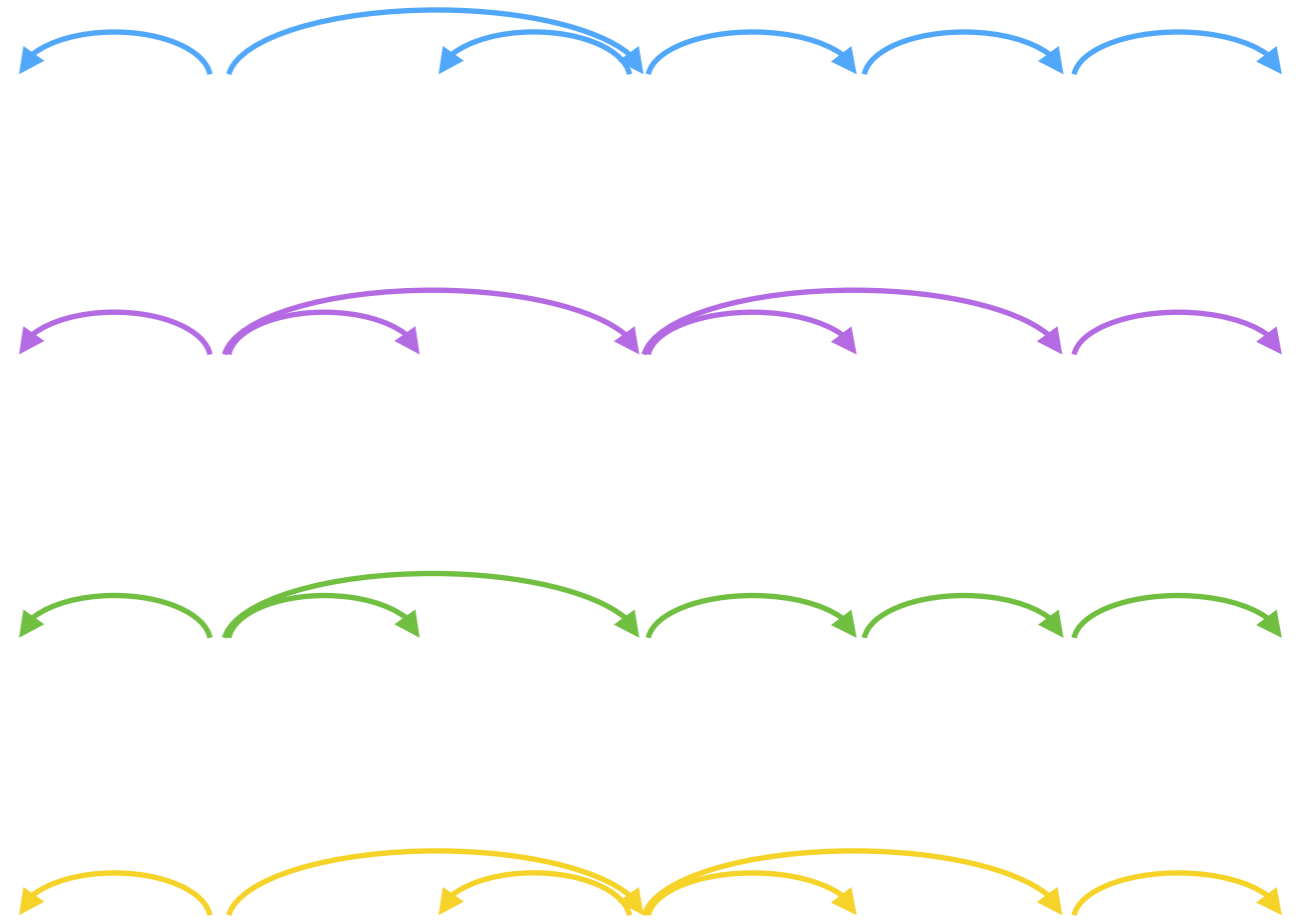




# Beam Search with Local Model



*(Schematic)*

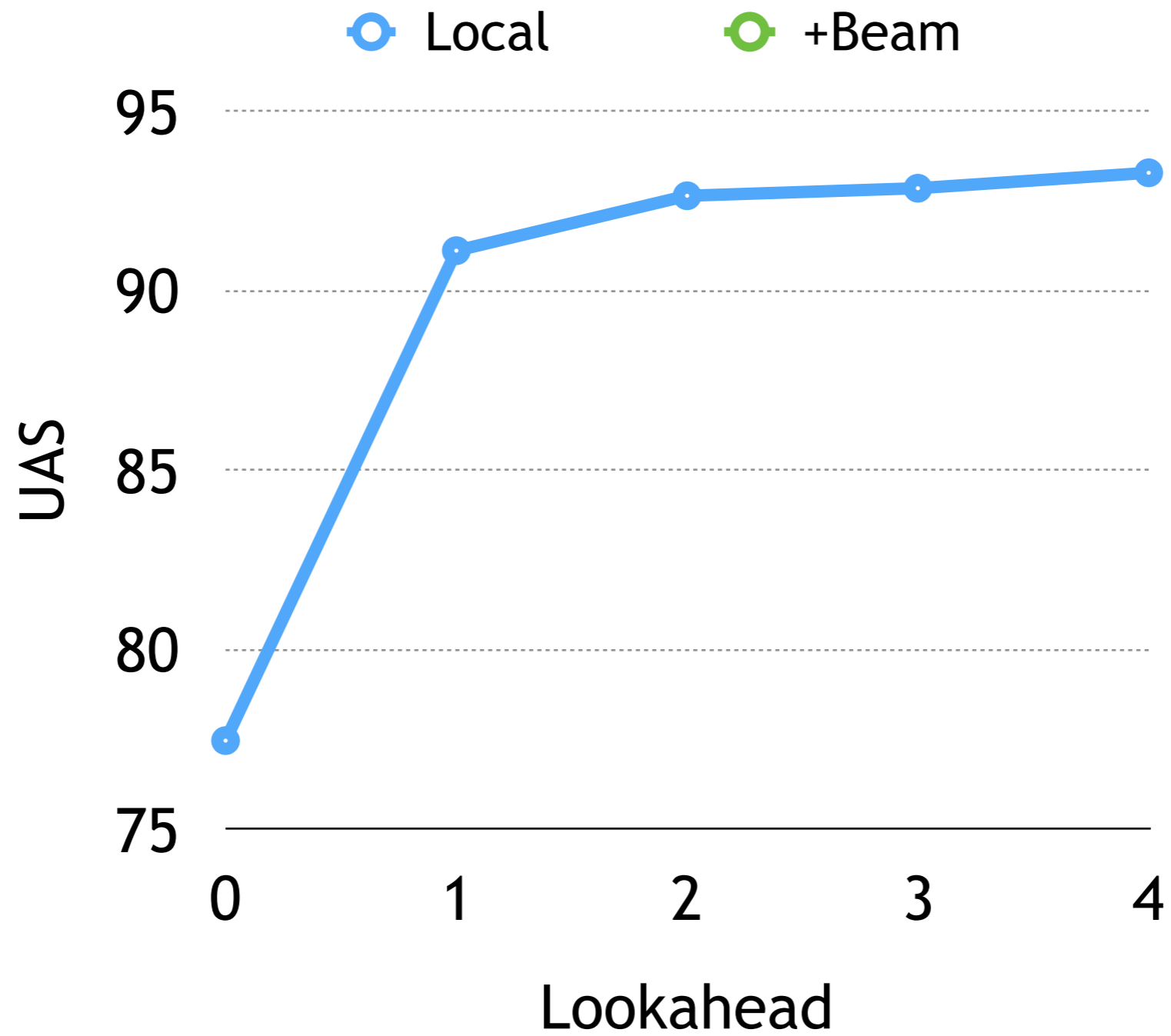


Alice saw Bob eat pizza with Charlie



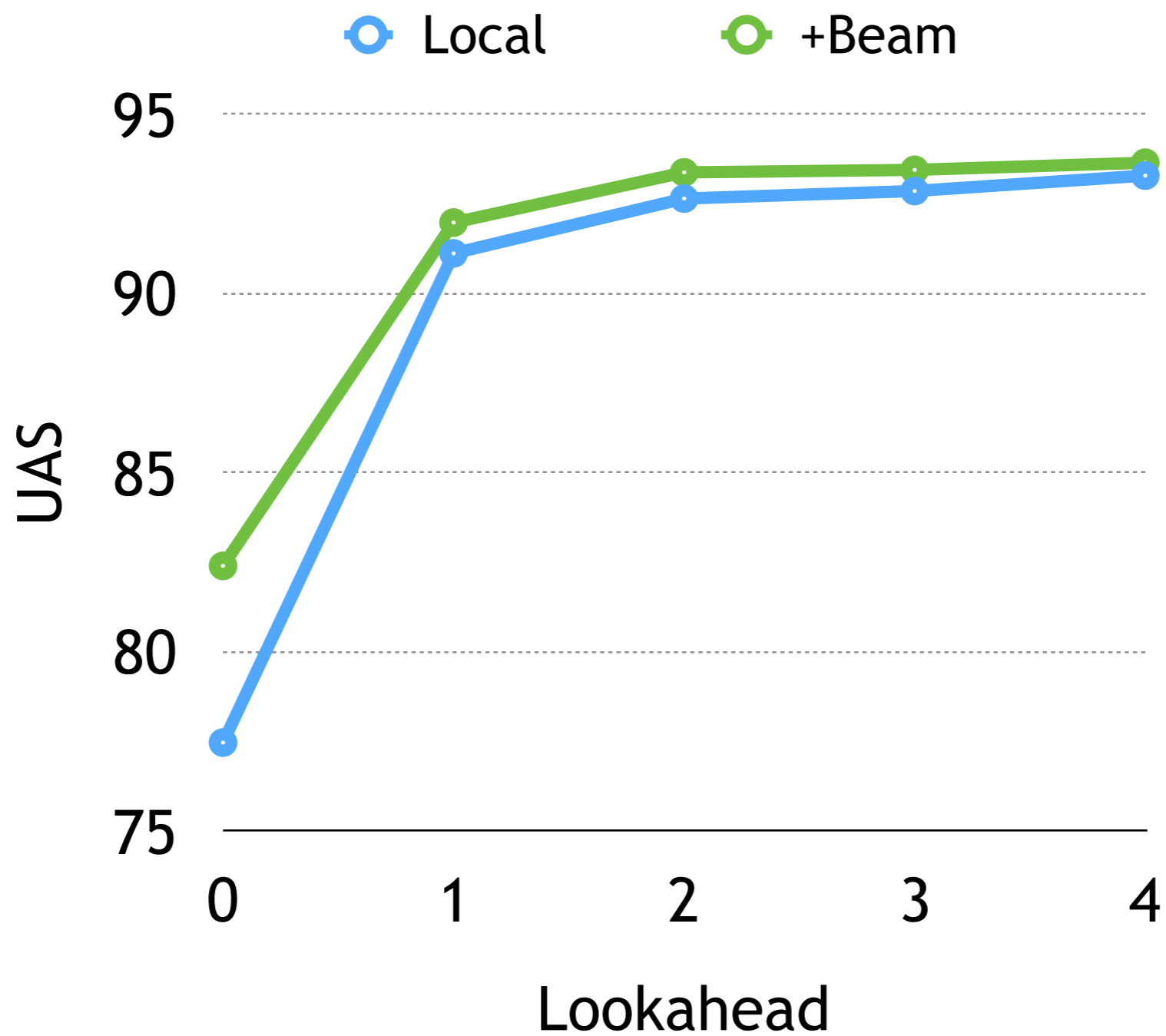


# Beam Search with Local Model





# Beam Search with Local Model





# Training with Early Updates

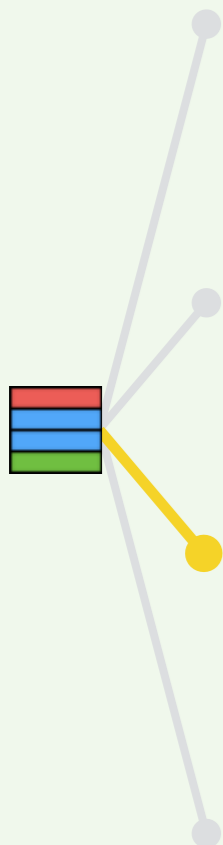
*Beam*

[Collins and Roark '04, Zhou et al.'15]



# Training with Early Updates

*Beam*



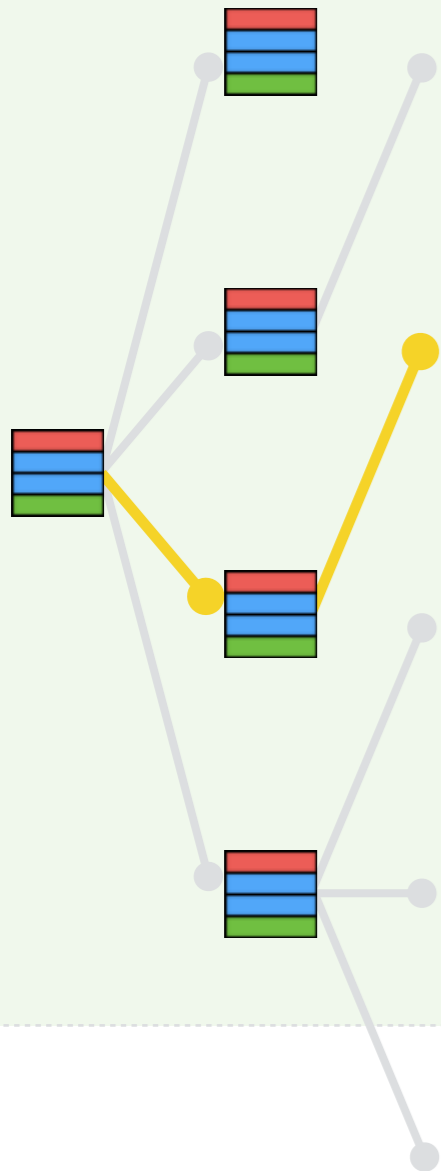
[Collins and Roark '04, Zhou et al.'15]





# Training with Early Updates

*Beam*

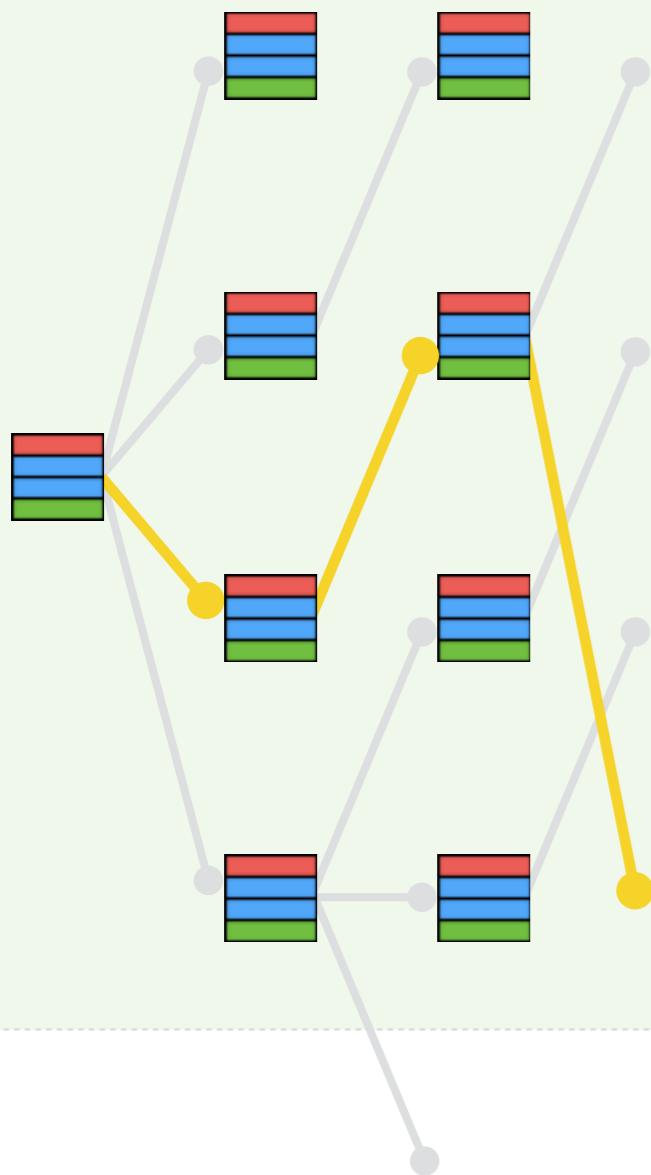


[Collins and Roark '04, Zhou et al.'15]



# Training with Early Updates

*Beam*

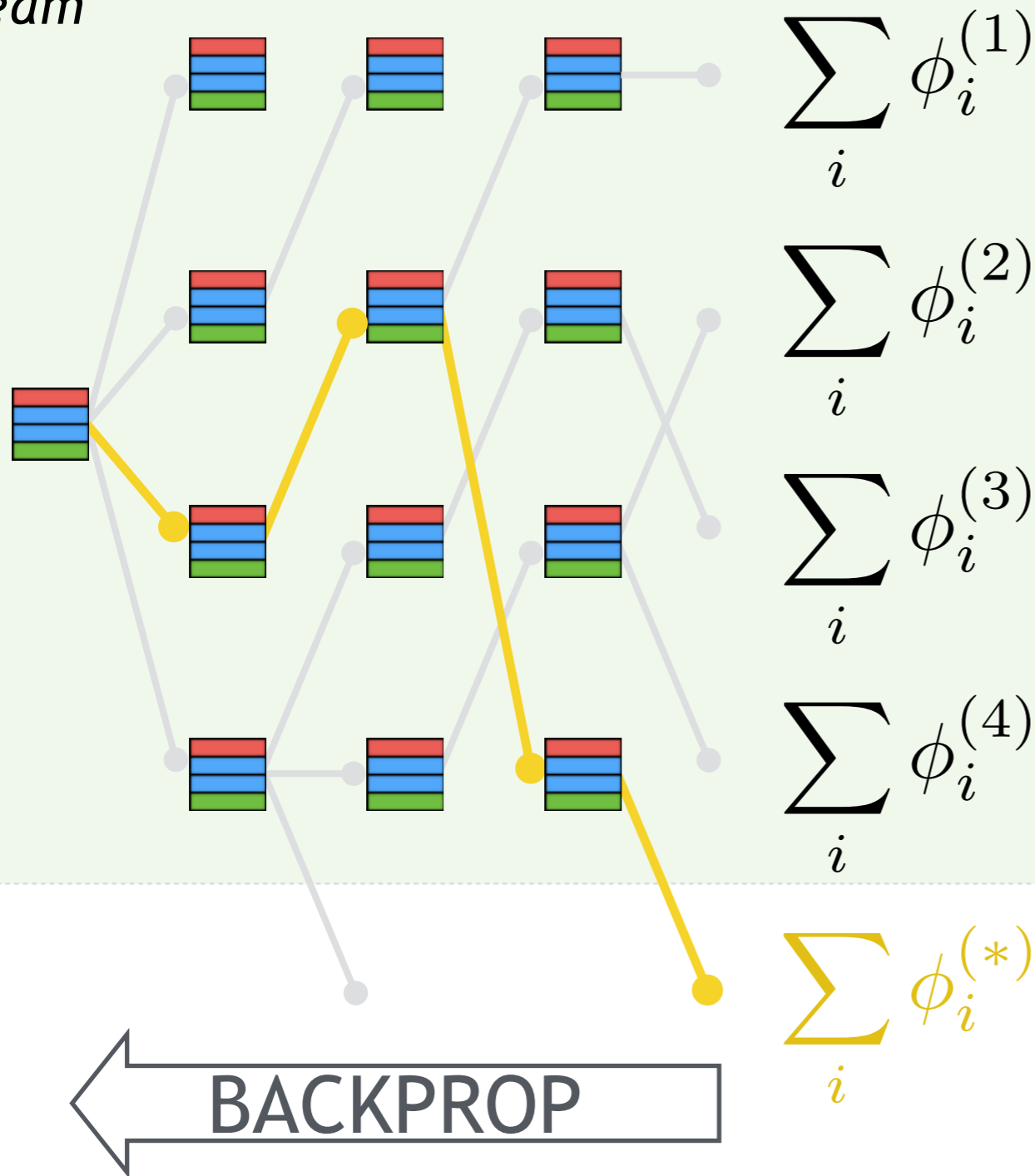


[Collins and Roark '04, Zhou et al.'15]



# Training with Early Updates

Beam



Globally normalized with respect to the beam:

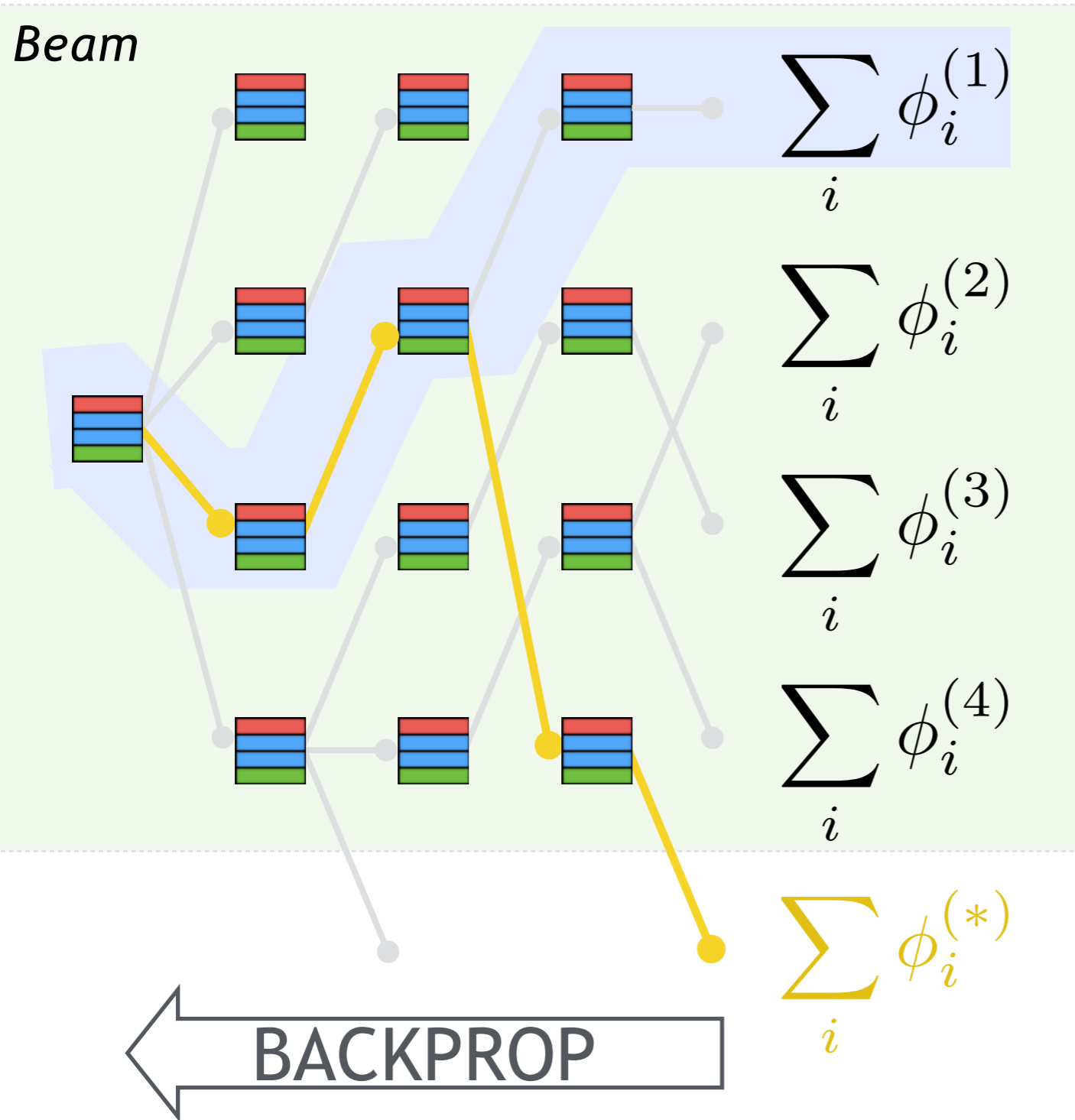
$$\frac{\exp \sum_i \phi_i^{(*)}}{\sum_{j=1}^{|\text{Beam}|} \exp \sum_i \phi_i^{(j)}}$$

Backpropagate through all steps, paths, and layers

[Collins and Roark '04, Zhou et al.'15]



# Training with Early Updates



Globally normalized with respect to the beam:

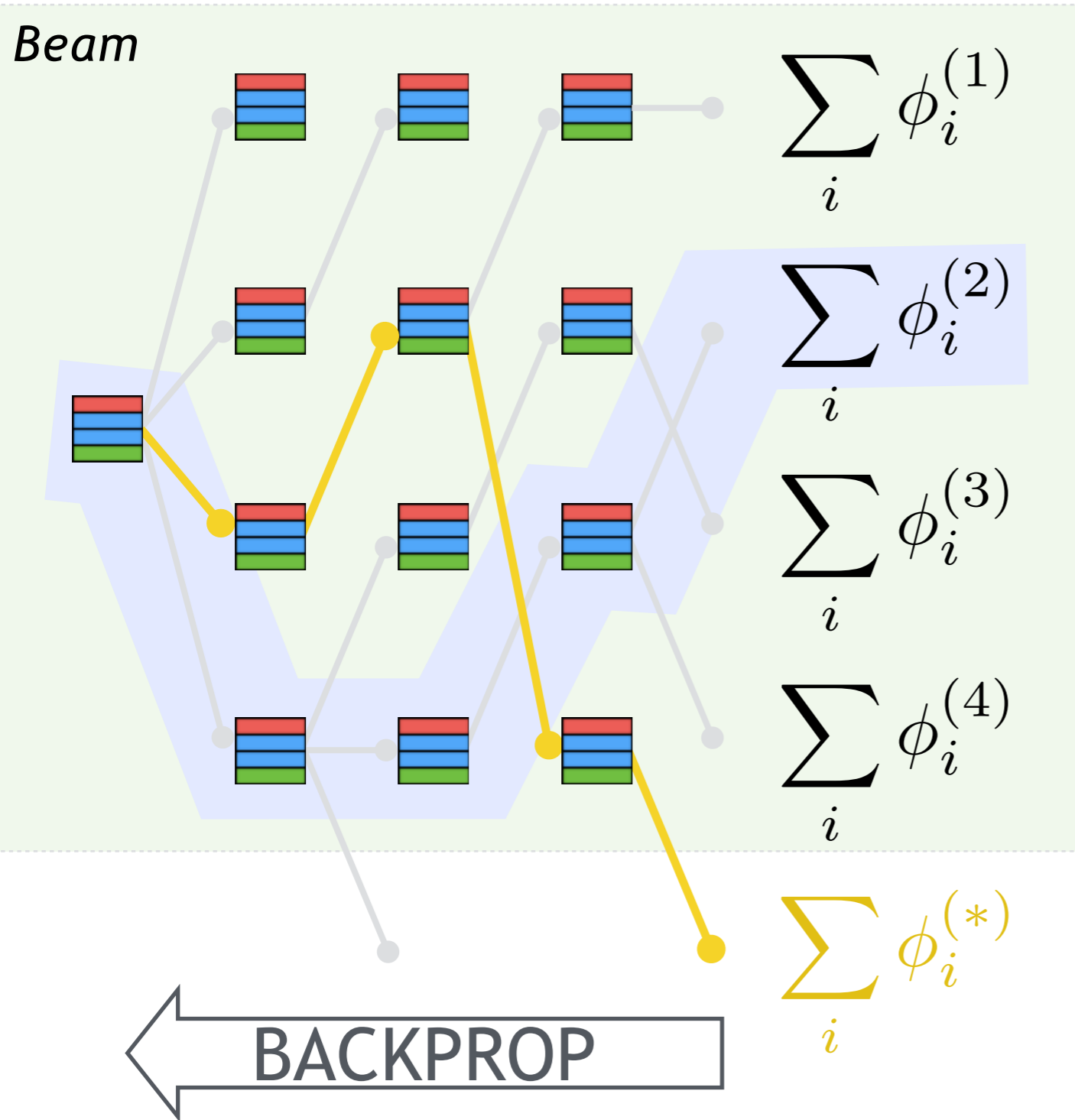
$$\frac{\exp \sum_i \phi_i^{(*)}}{\sum_{j=1}^{|\text{Beam}|} \exp \sum_i \phi_i^{(j)}}$$

Backpropagate through all steps, paths, and layers

[Collins and Roark '04, Zhou et al.'15]



# Training with Early Updates



Globally normalized with respect to the beam:

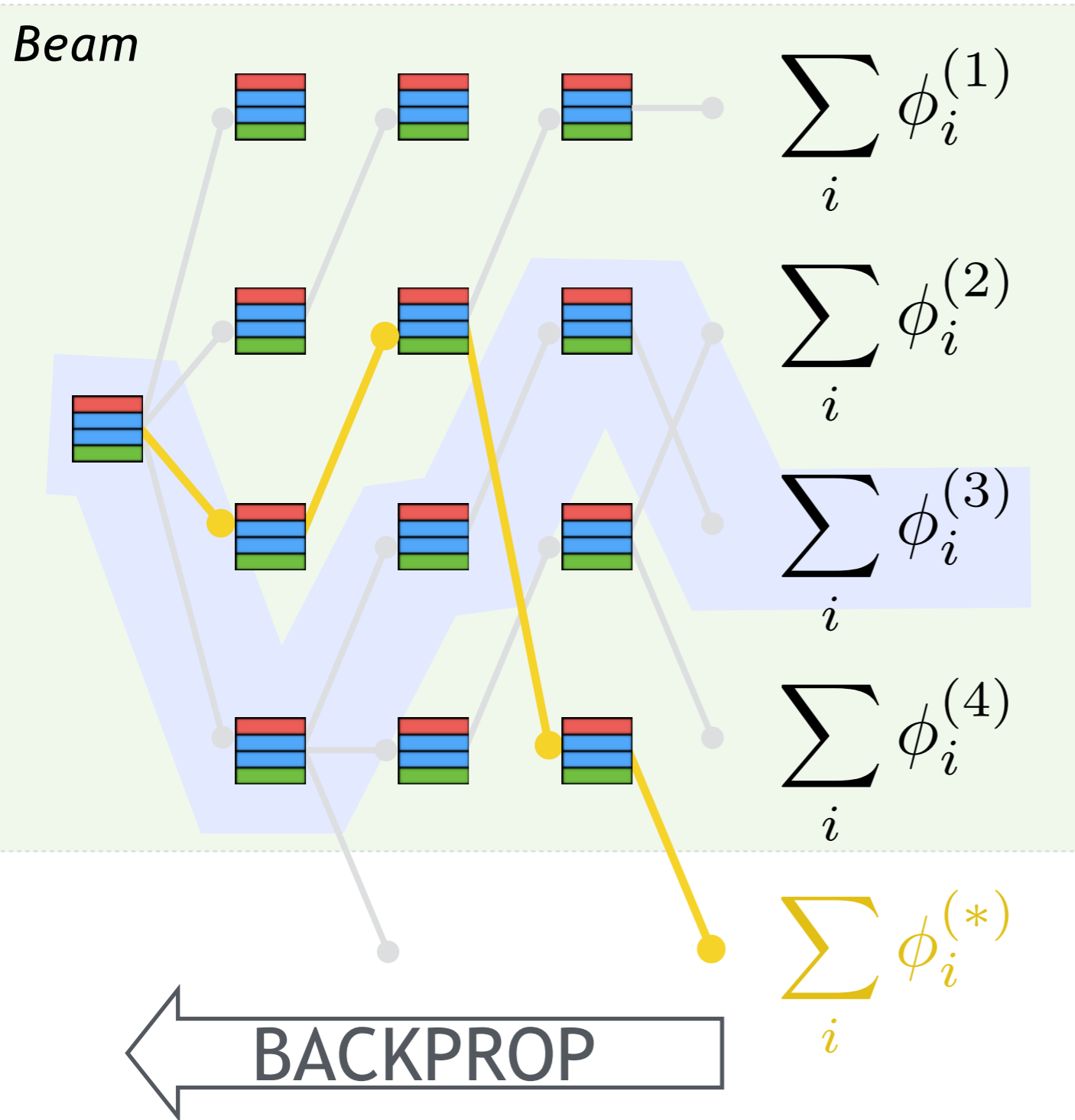
$$\frac{\exp \sum_i \phi_i^{(*)}}{\sum_{j=1}^{|\text{Beam}|} \exp \sum_i \phi_i^{(j)}}$$

Backpropagate through all steps, paths, and layers

[Collins and Roark '04, Zhou et al.'15]



# Training with Early Updates



Globally normalized with respect to the beam:

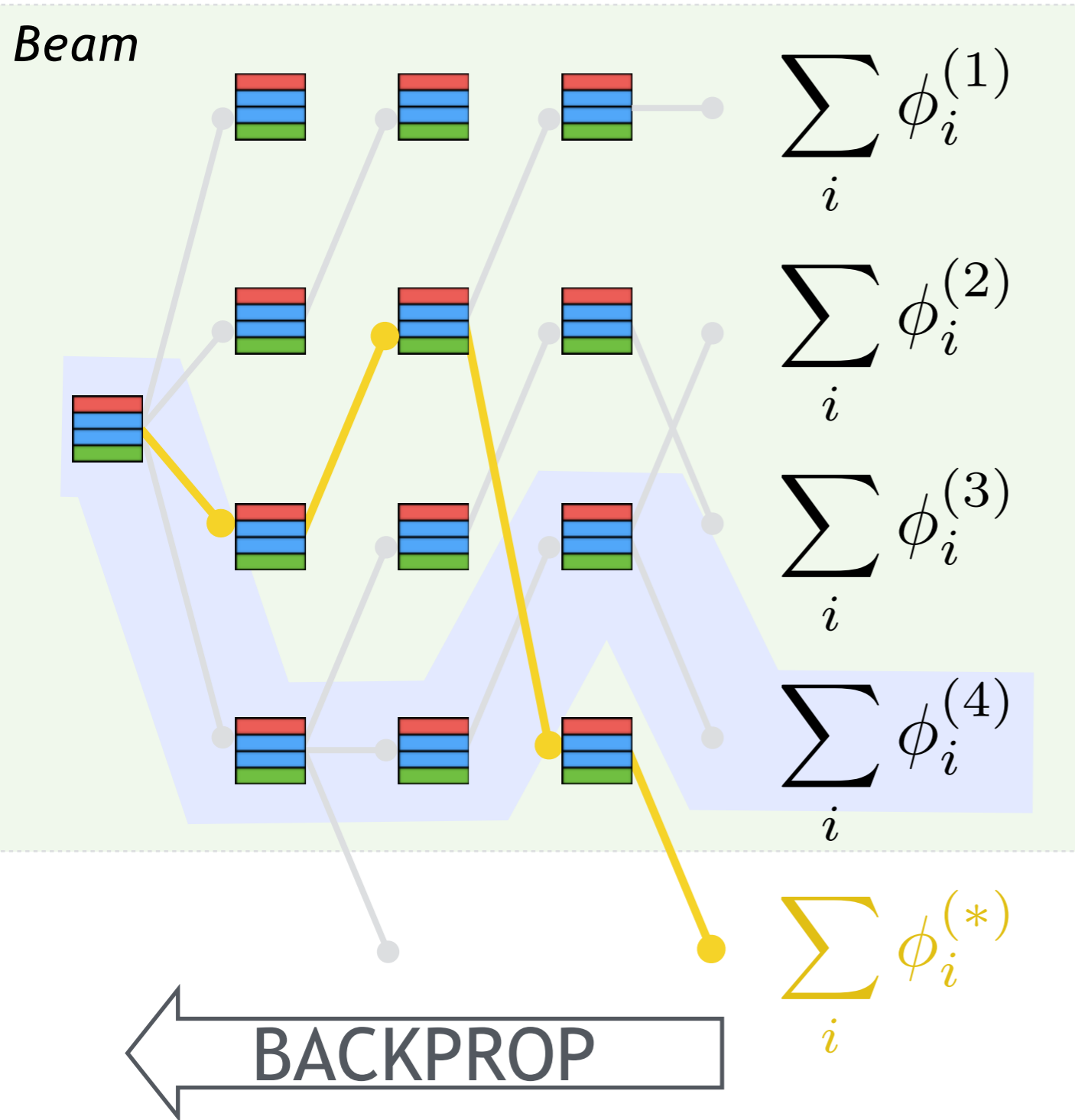
$$\frac{\exp \sum_i \phi_i^{(*)}}{\sum_{j=1}^{|\text{Beam}|} \exp \sum_i \phi_i^{(j)}}$$

Backpropagate through all steps, paths, and layers

[Collins and Roark '04, Zhou et al.'15]



# Training with Early Updates



Globally normalized with respect to the beam:

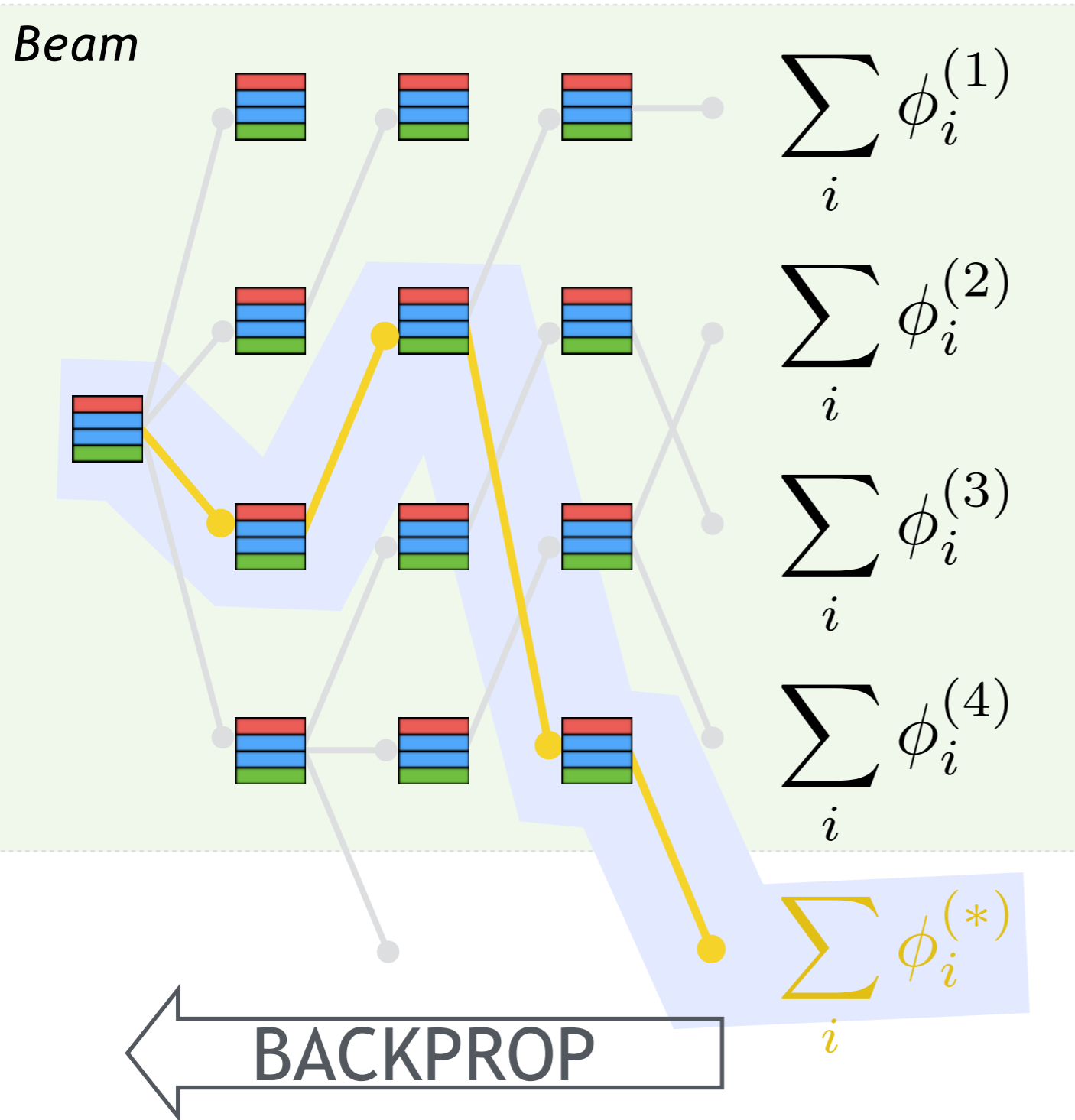
$$\frac{\exp \sum_i \phi_i^{(*)}}{\sum_{j=1}^{|\text{Beam}|} \exp \sum_i \phi_i^{(j)}}$$

Backpropagate through all steps, paths, and layers

[Collins and Roark '04, Zhou et al.'15]



# Training with Early Updates



Globally normalized with respect to the beam:

$$\frac{\exp \sum_i \phi_i^{(*)}}{\sum_{j=1}^{|\text{Beam}|} \exp \sum_i \phi_i^{(j)}}$$

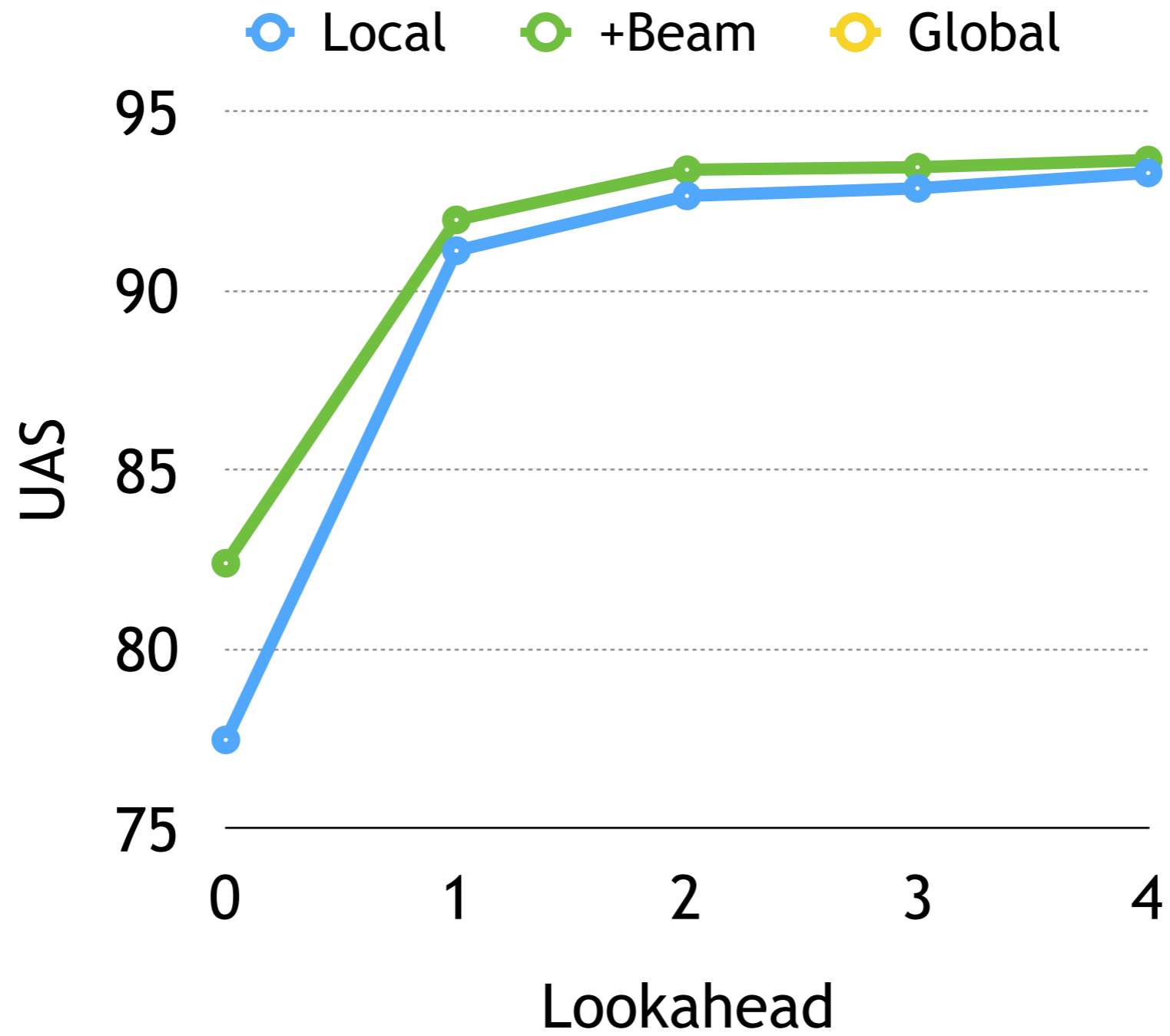
Backpropagate through all steps, paths, and layers

[Collins and Roark '04, Zhou et al.'15]



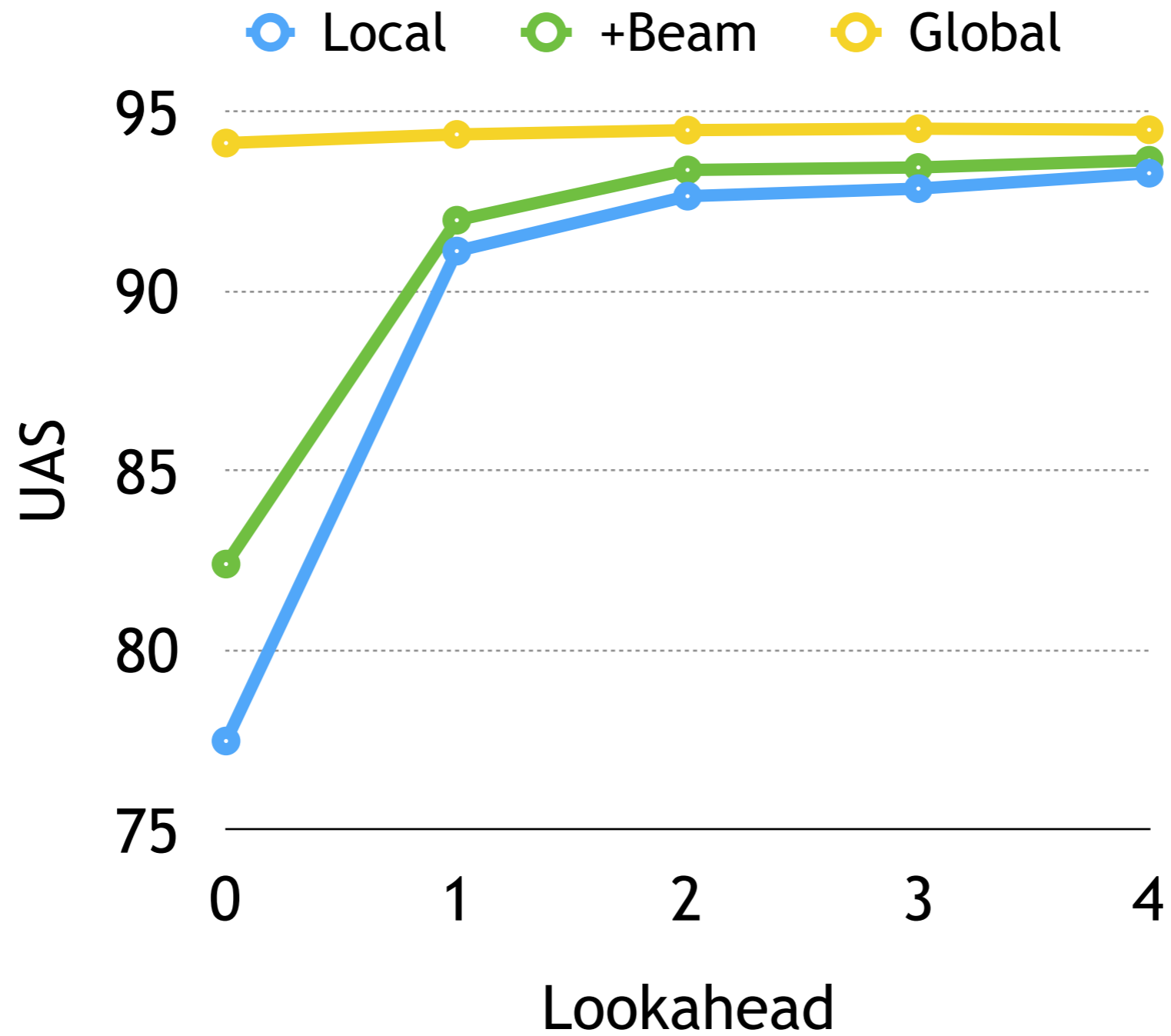


# Globally Normalized Model



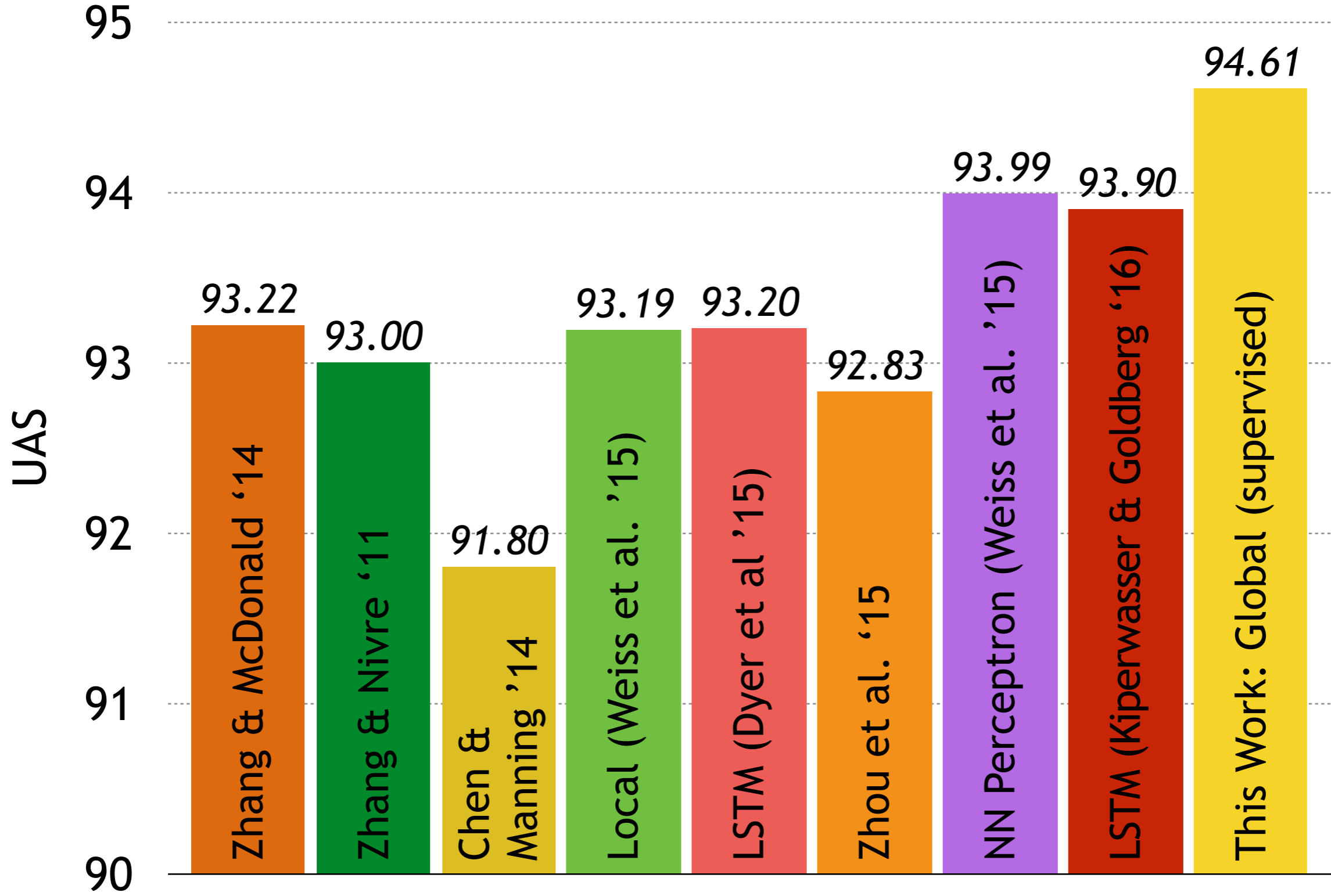


# Globally Normalized Model





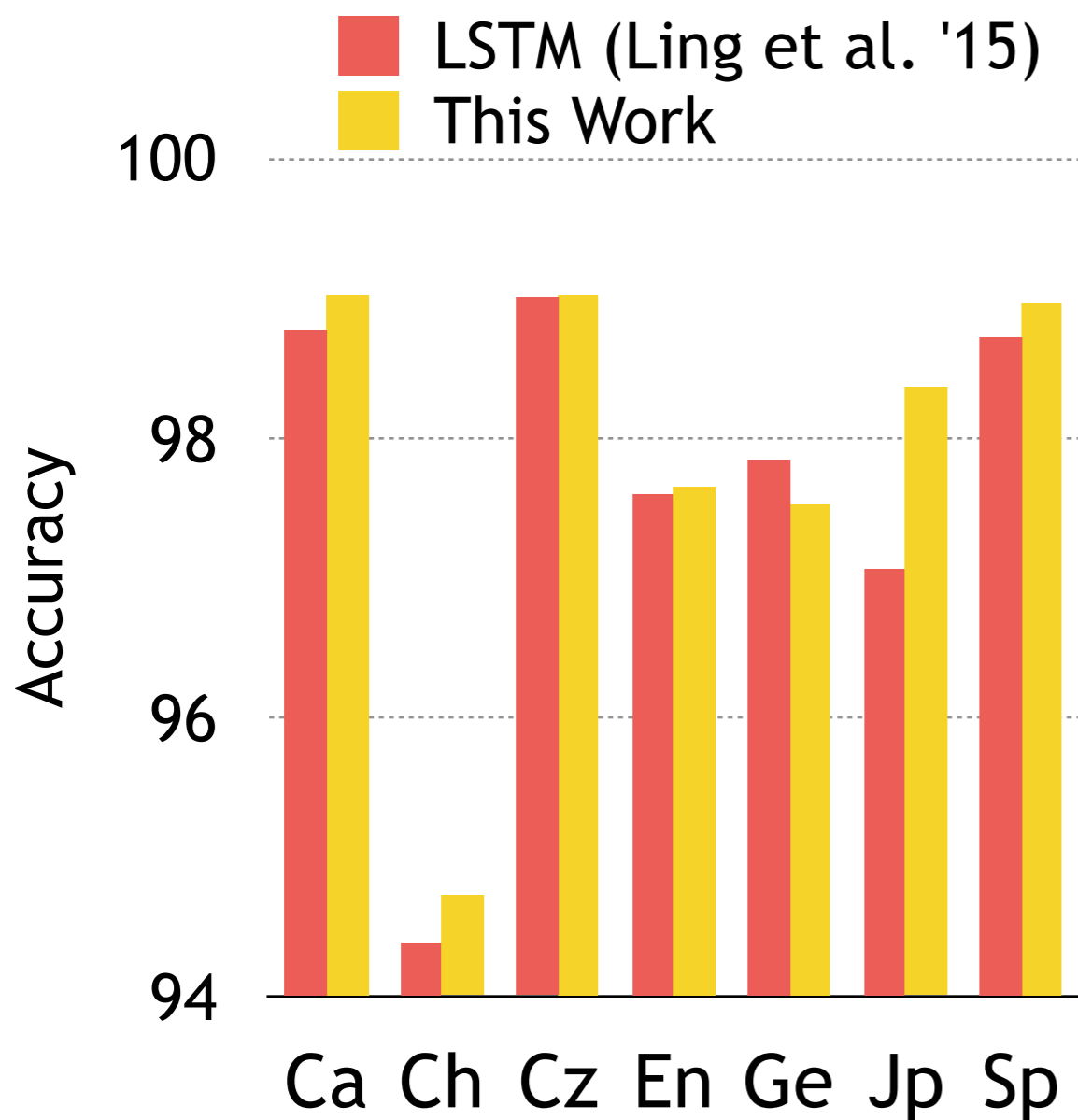
# English WSJ Results



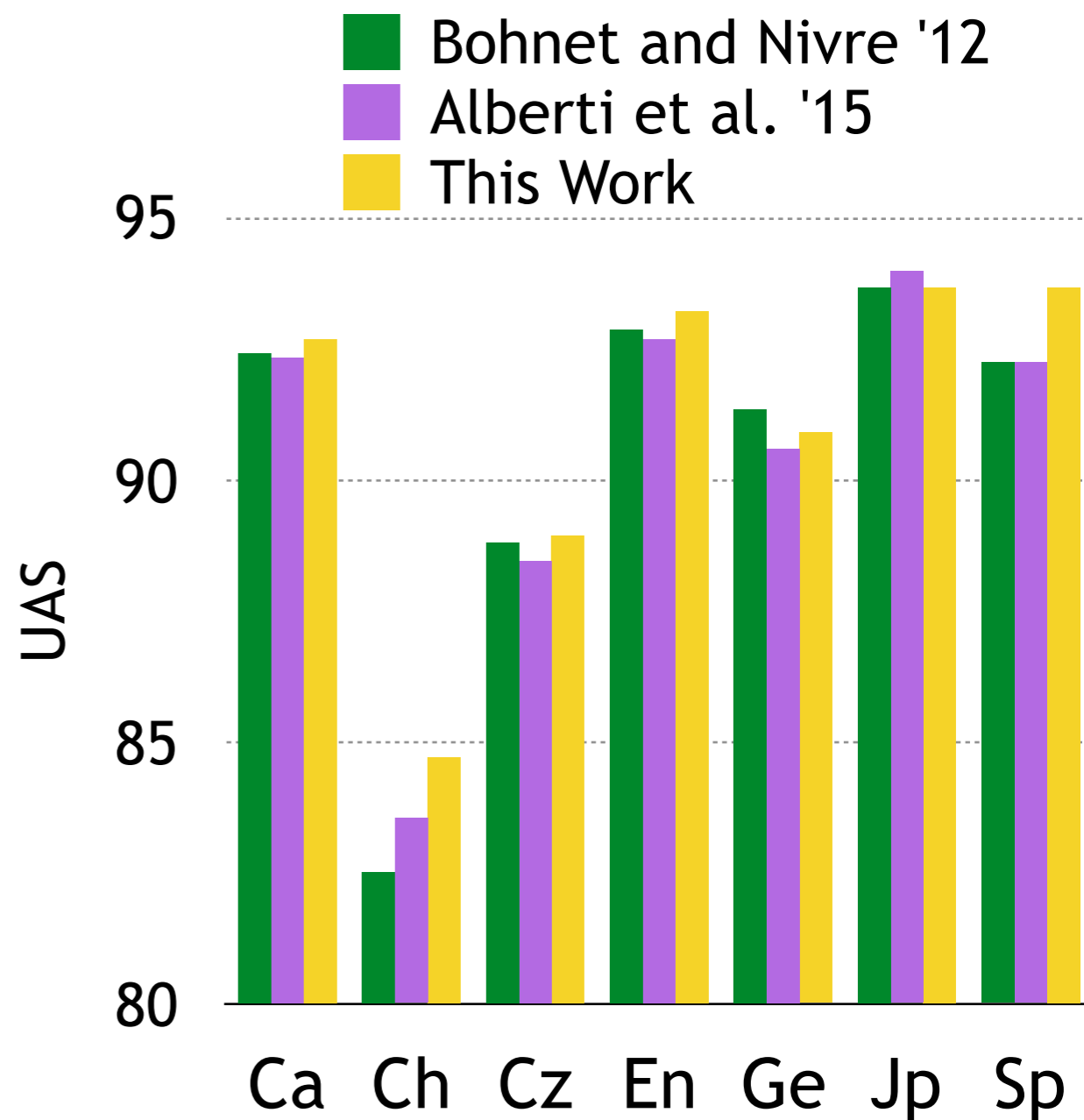


# CoNLL'09 POS Tagging and Parsing Results

## Tagging



## Parsing





# Sentence Compression Results

In Pakistan, former leader Pervez Musharraf has appeared in court for the first time, on treason charges.



# Sentence Compression Results

In Pakistan, former leader Pervez Musharraf has appeared in court for the first time, on treason charges.

Transition System decides to **KEEP** or **DROP** words



# Sentence Compression Results

In Pakistan, former leader **Pervez Musharraf** has appeared in **court** for the first time, on **treason** charges.

Transition System decides to **KEEP** or **DROP** words



# Sentence Compression Results

**Pervez Musharraf has appeared in court on treason charges.**





# Sentence Compression Results

**Pervez Musharraf has appeared in court on treason charges.**

	<b>Seq2seq LSTM (Filippova et al. '15)</b>	<b>Global model (This work)</b>
<b>Whole-sentence test accuracy</b>	35.36	35.16
<b>Human eval rating</b>	4.66	4.67
<b>Relative throughput</b>	1x	100x



# Sentence Compression Results

Pervez Musharraf has appeared in court on treason charges.

	Seq2seq LSTM (Filippova et al. '15)	Global model (This work)
Whole-sentence test accuracy	35.36	35.16
Human eval rating	4.66	4.67
Relative throughput	1x	100x



# Sentence Compression: Label Bias

	Predicted compression	Sequence probability under	
		Local	Global
Local	<code>In Pakistan, former leader Pervez Musharraf has appeared in court for the first time, on treason charges.</code>	0.13	0.05
+Beam	<code>In Pakistan, former leader Pervez Musharraf has appeared in court for the first time, on treason charges.</code>	0.16	$<10^{-4}$
Global	<code>In Pakistan, former leader Pervez Musharraf has appeared in court for the first time, on treason charges.</code>	0.06	0.07



# Why does it work?



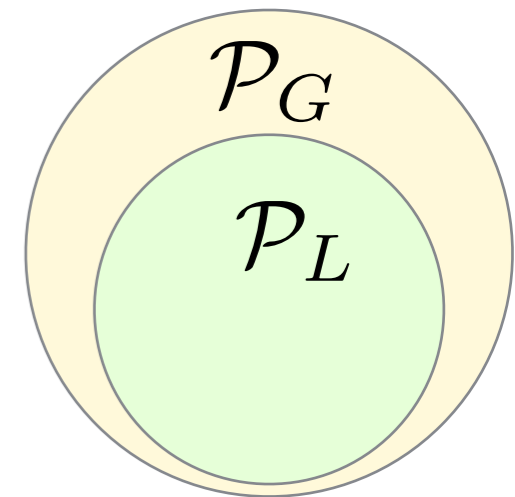
# 1. Global Models are More Expressive

Let

- $\mathcal{P}_L$  set of distributions under a Local model
- $\mathcal{P}_G$  set of distributions under a Global model

Theorem:  $\mathcal{P}_L \subsetneq \mathcal{P}_G$

Therefore there are some distributions over sequences that cannot be captured in a finite-lookahead locally-normalized model.



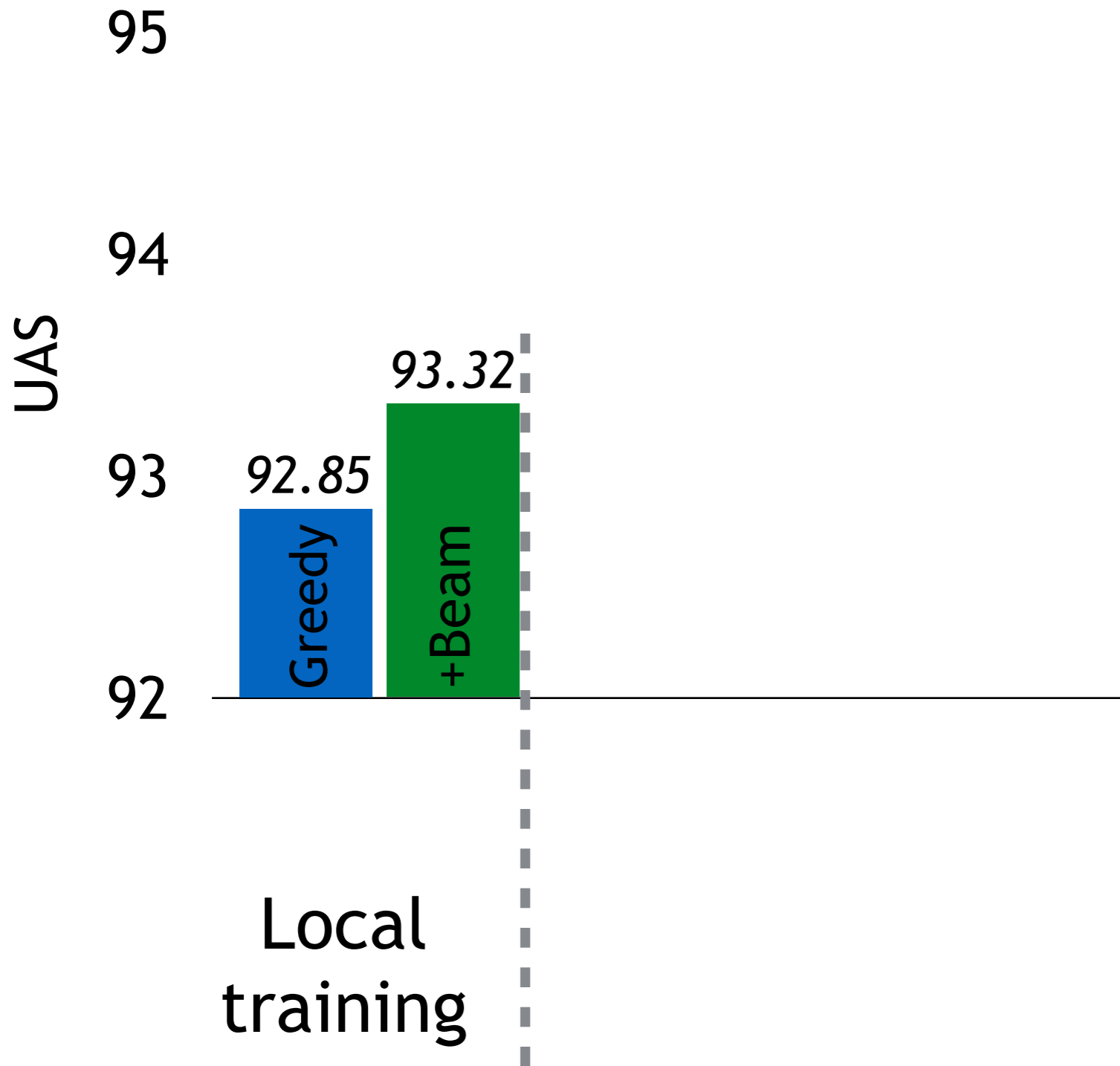
[This work, Smith and Johnson '07]



## 2. Backprop with a Beam

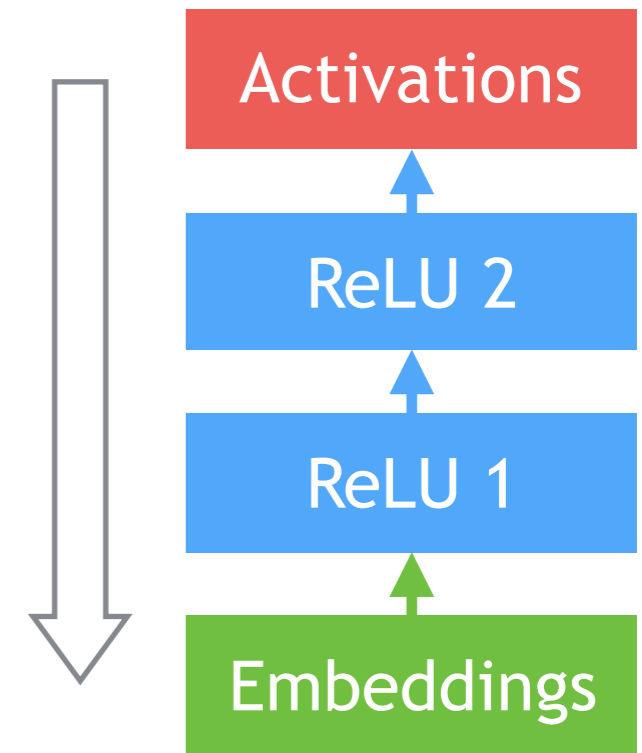
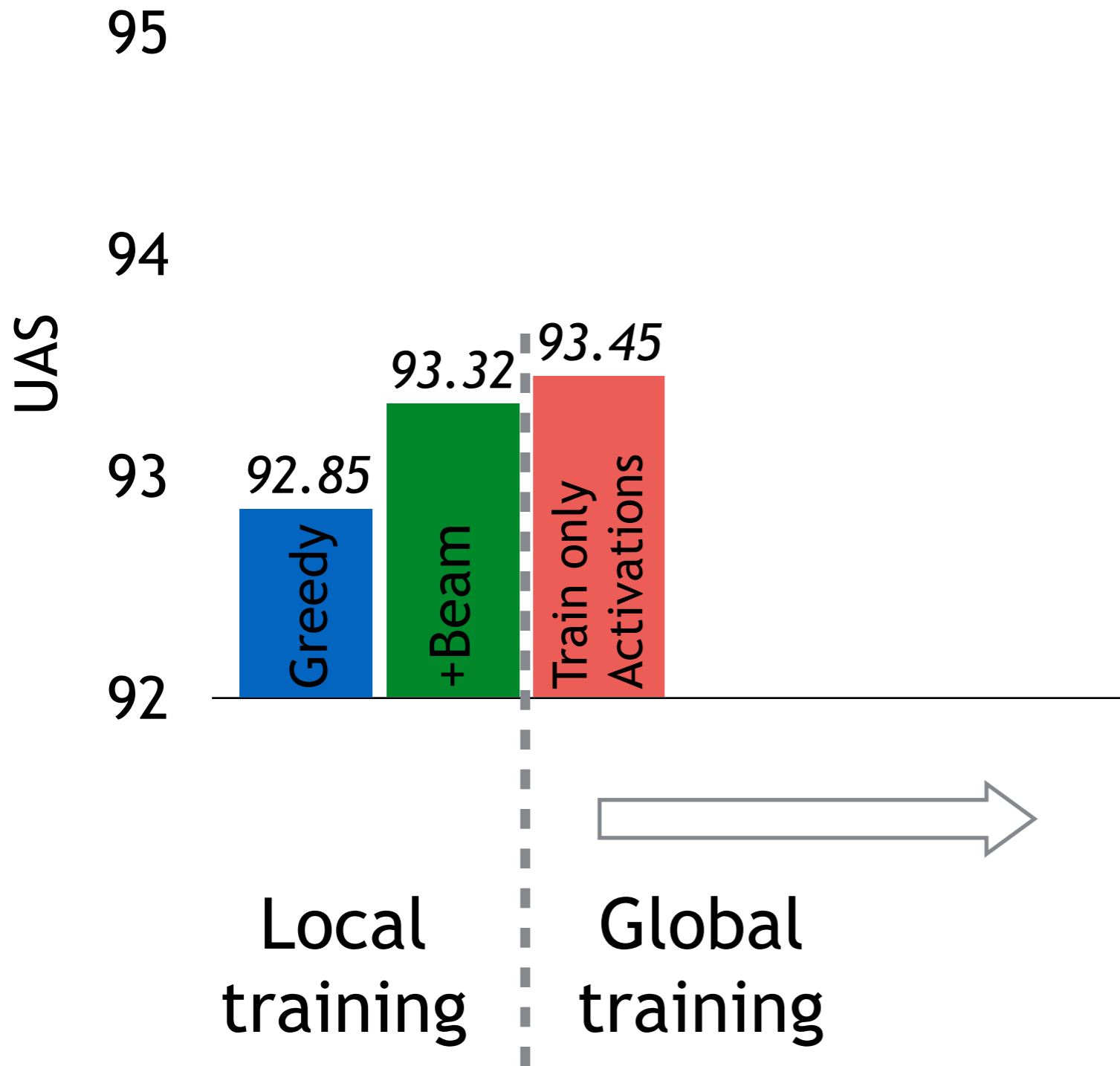


## 2. Backprop with a Beam





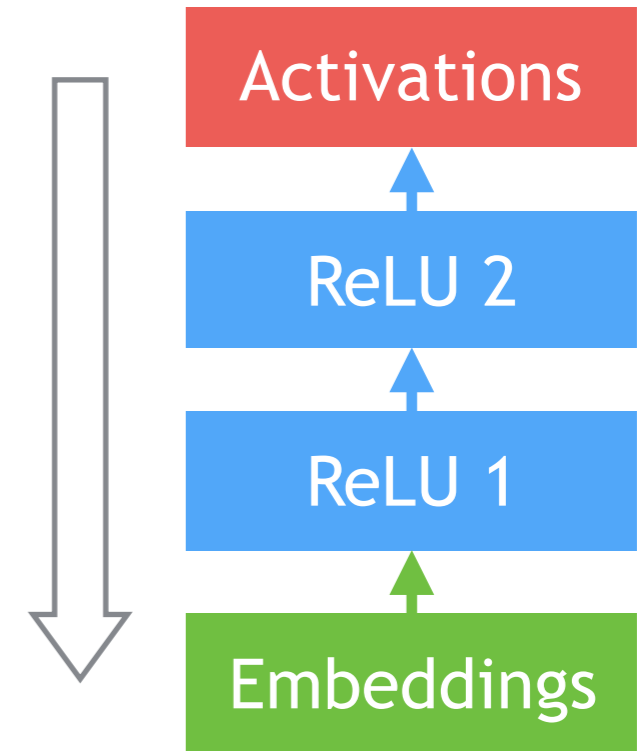
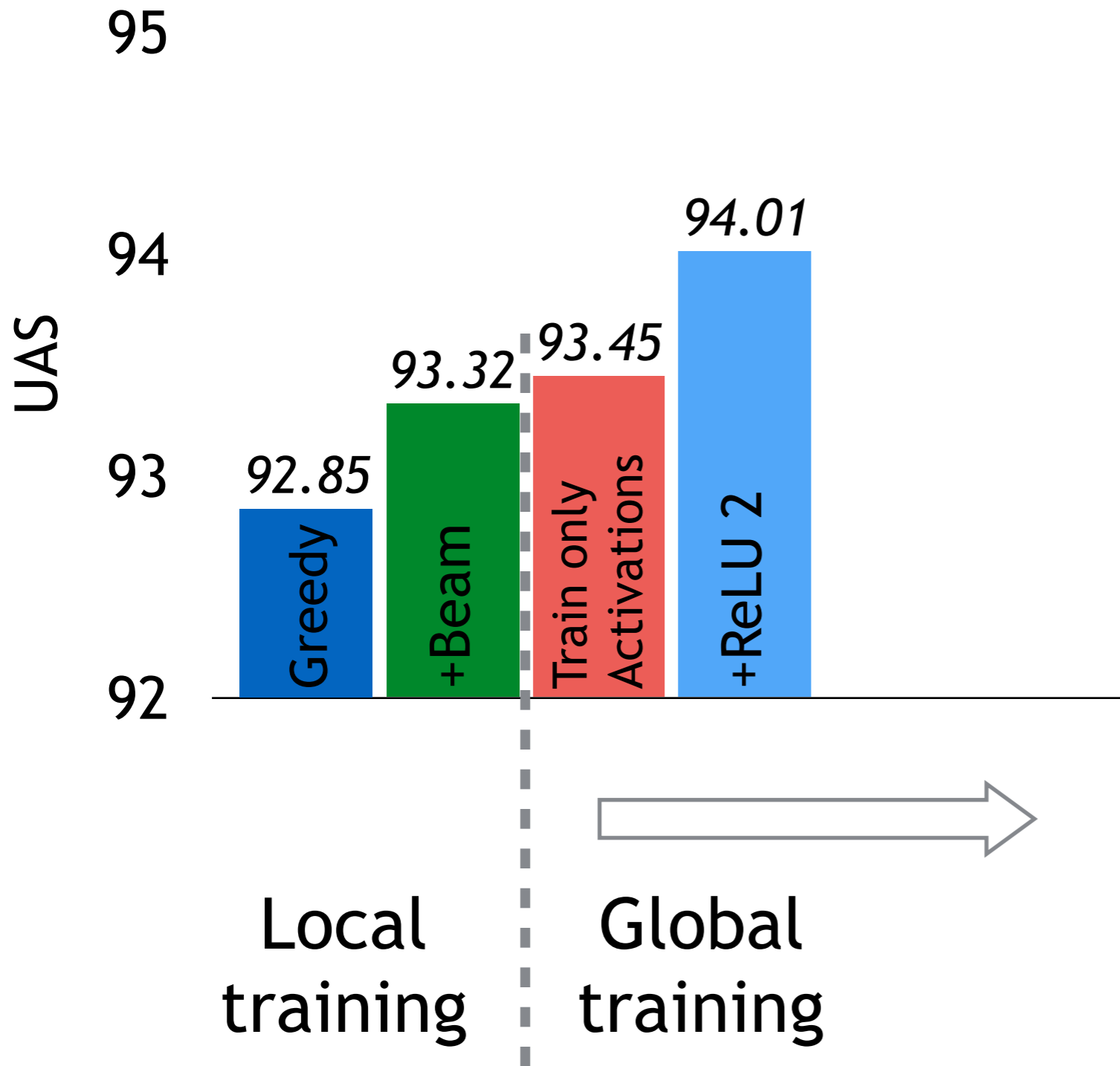
## 2. Backprop with a Beam





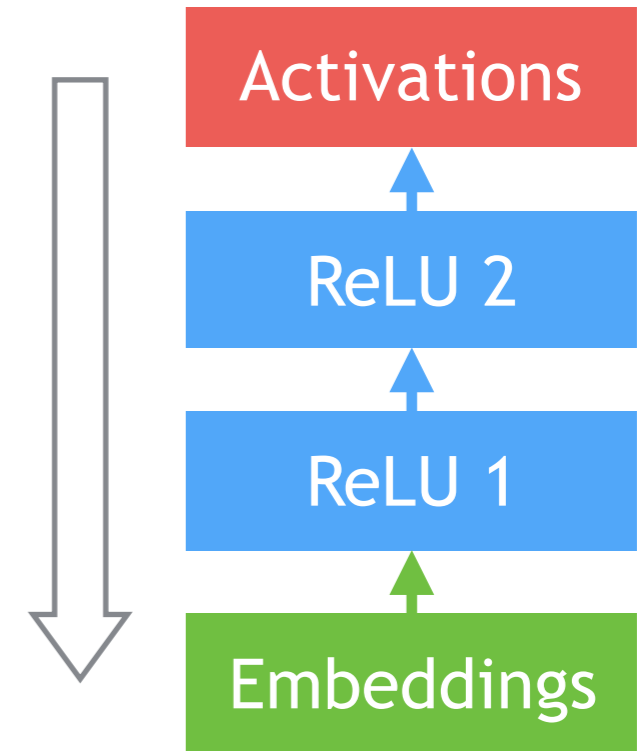
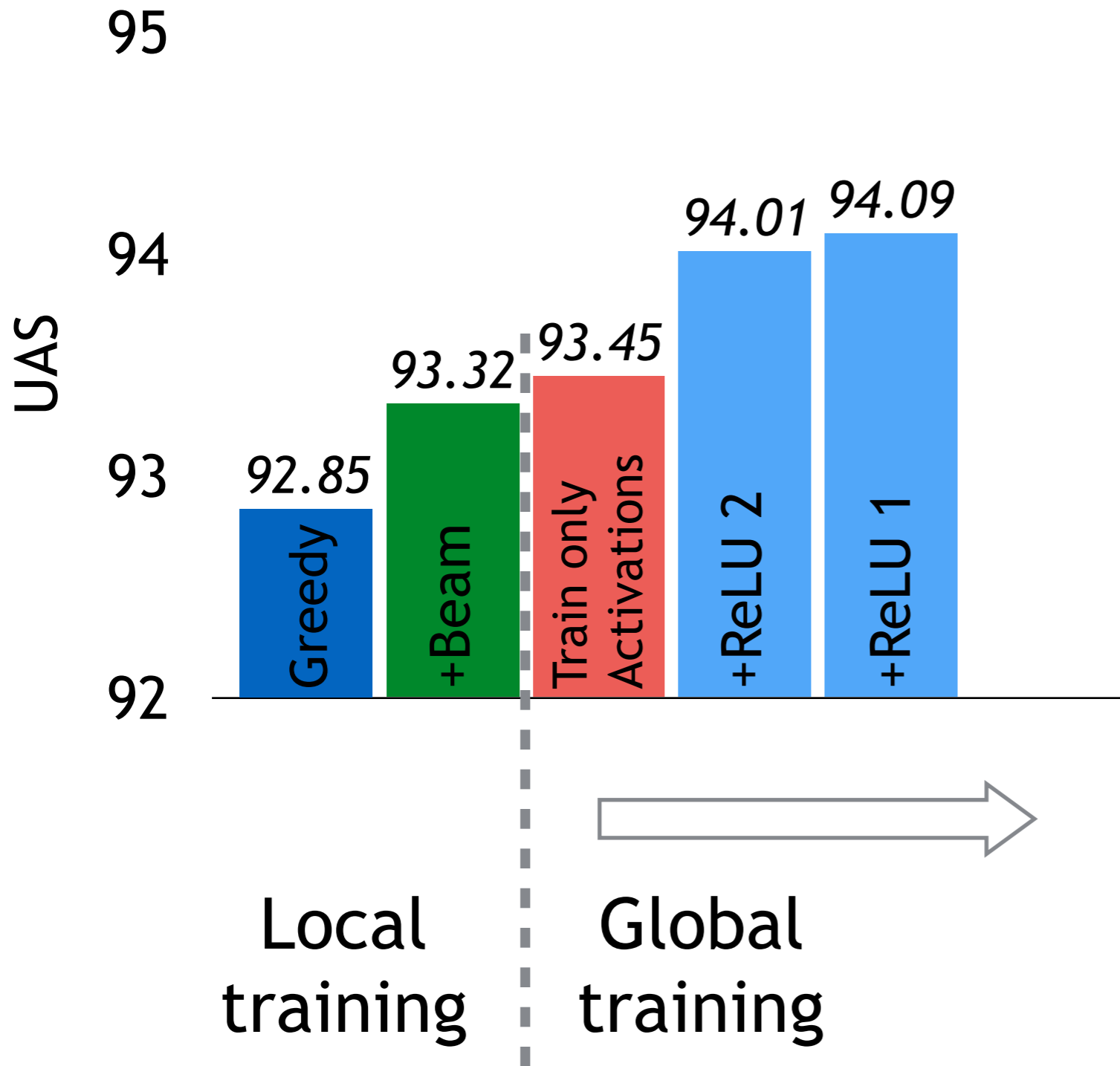


## 2. Backprop with a Beam



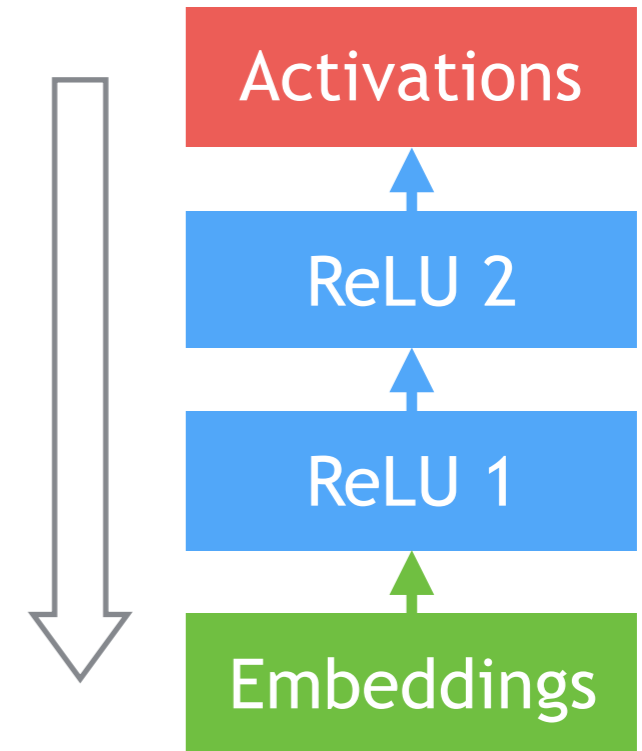
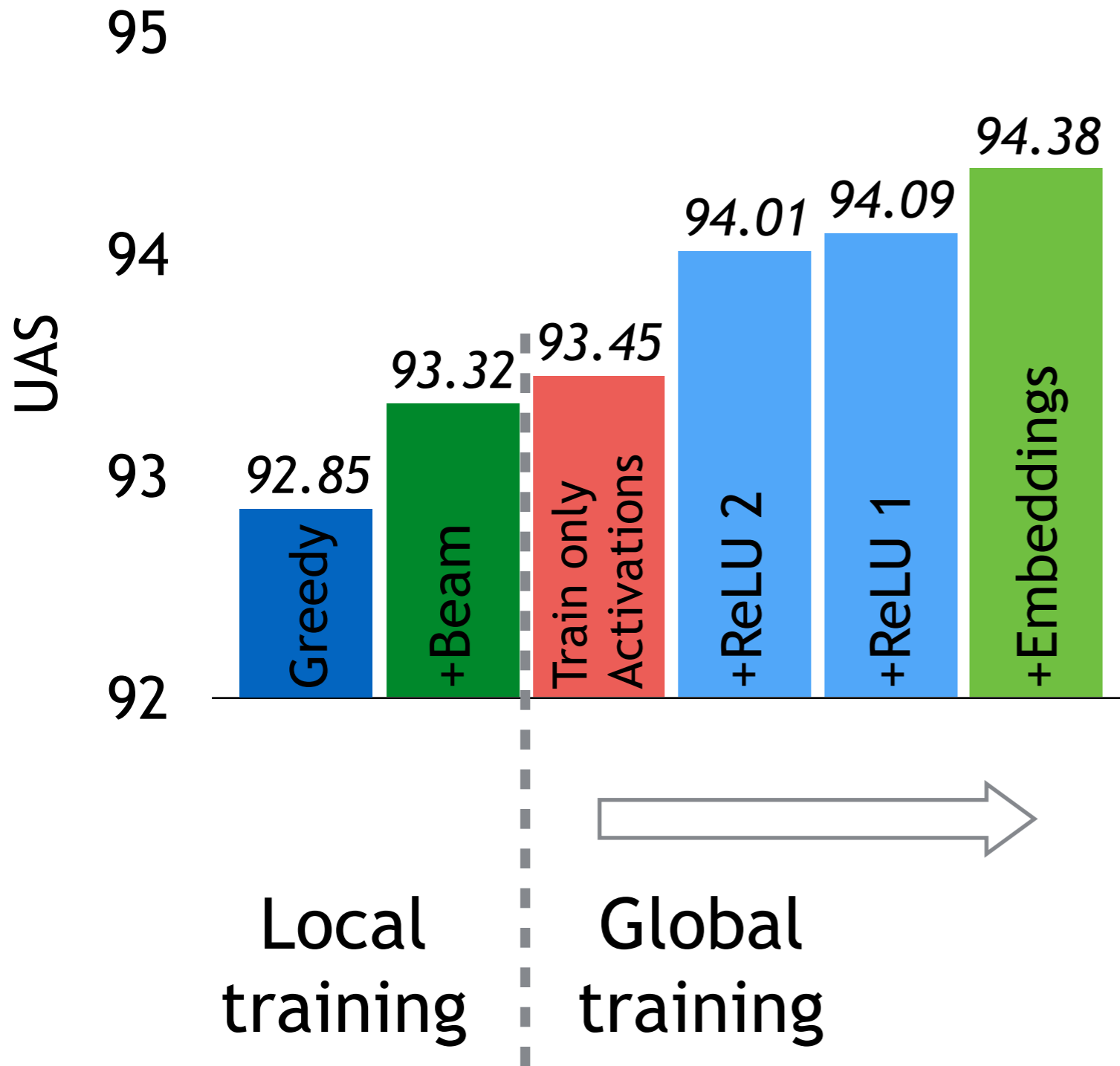


## 2. Backprop with a Beam





## 2. Backprop with a Beam





# Conclusions

## Global models:

- can be taught to do search better
- more accurate, in exchange for more training time
- same wicked fast decoding
- applicable to multiple tasks



# Open Source: SyntaxNet

Parsey McParseface + 40 languages

<https://github.com/tensorflow/models/tree/master/syntaxnet>





# ACL 2016 Google Booth

Come by for **demos**, info and swag

And check out the  
Natural Language Understanding  
team page: [g.co/NLUTeam](http://g.co/NLUTeam)



# Thank You!

[Do and Artires '10]  
[Filippova et al.'15]  
[Goldberg and Nivre '13]  
[Hochreiter and Schmidhuber '97]  
[Huang et al.'15]

[Henderson '03]  
[Henderson '04]  
[Durrett and Klein '15]  
[Vinyals et al.'15]  
[Watanabe and Sumita '15]

[Bottou '91]  
[Bottou et al.'97]  
[Lafferty et al.'01]  
[Bottou and LeCun '05]  
[Le Cun et al.'98]

[Lei et al.'14]  
[Ling et al.'15]  
[Peng et al.'09]

[Collins and Roark '04]  
[Collins '99]  
[Liang et al.'08]  
[Daume III et al.'09]  
[Abney et al.'99]  
[Chi '99]  
[Smith and Johnson '07]

[Ross et al.'11]  
[Yao et al.'14]  
[Zheng et al.'15]  
[Zhou and Xu'15]

[Nivre '06]  
[Nivre '09]  
[Bohnet and Nivre '12]  
[Martins et al.'13]  
[Chen and Manning '14]  
[Zhang and McDonald '14]  
[Alberti et al.'15]  
[Ballesteros et al.'15]  
[Dyer et al.'15]  
[Weiss et al.'15]  
[Yazdani and Henderson '15]  
[Zhou et al.'15]  
[Vaswani and Sagae '16]



# Appendix





# Longer examples of ambiguity